

# Coupling of electronic and mechanical degrees of freedom in quantum nanostructures

**Renaud Leturcq**

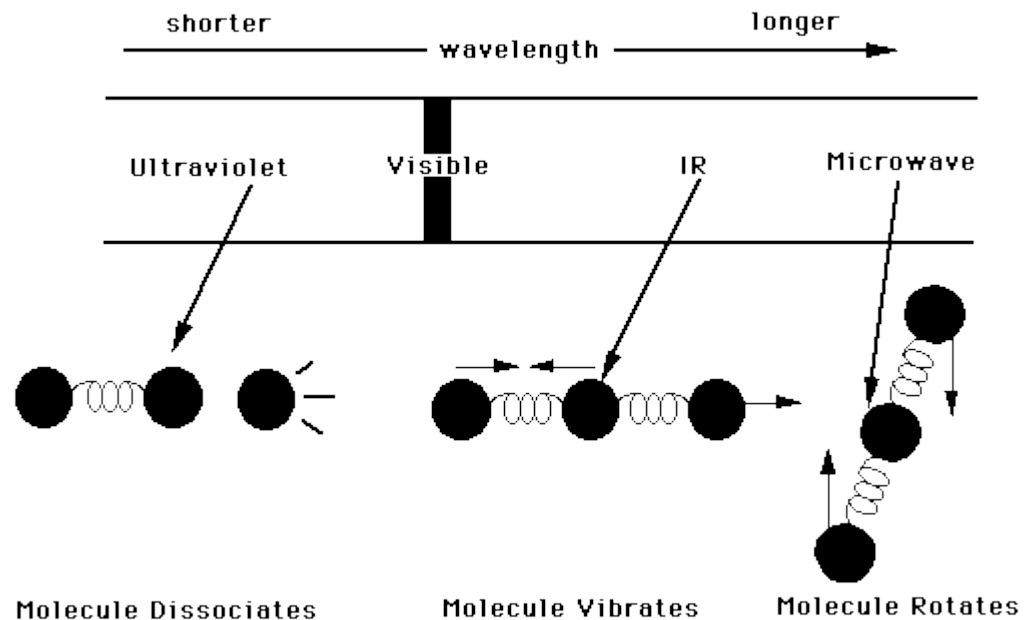
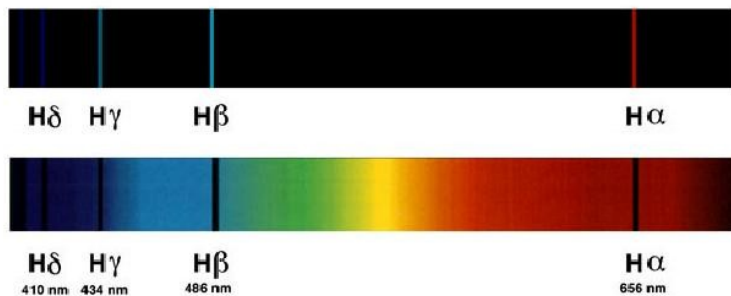
**IEMN – CNRS, Villeneuve d'Ascq, France**



# Introduction

- From the electronic structure of atoms to the vibrational spectrum of molecules
  - coupling of electronic and vibrational degree of freedom  $\Rightarrow$  Raman spectroscopy

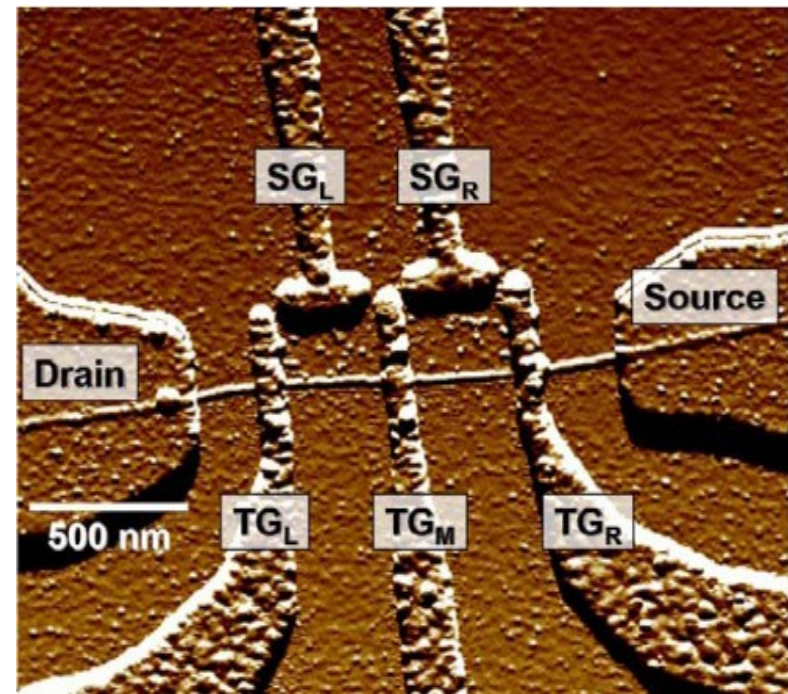
## Emission and Absorption Spectra for Hydrogen



<http://dosxx.colorado.edu/~bagenal/1010/SESSIONS/13.Light.html>

# Introduction

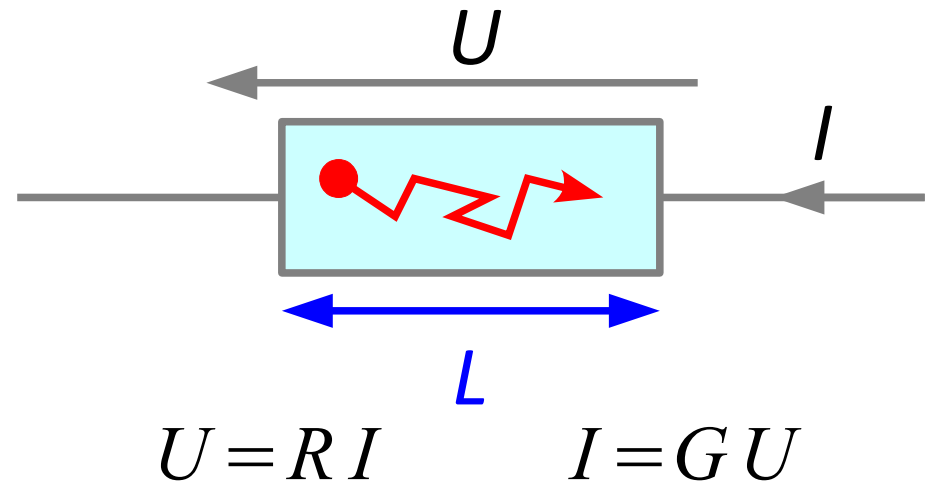
- From the electronic structure of atoms to the vibrational spectrum of molecules
- Actual nanostructures closer to single molecules  $\Rightarrow$  do they show similar behavior?
  - e.g. carbon nanotubes



S. Sapmaz *et al.*, Nano Lett. **6**, 1350 (2006)

# Introduction

- Electronic transport
  - resistance (conductance) of a conductor
- Electrons are quantum particles
  - How quantum mechanics influences electronic transport?
  - What is a nanostructure in terms of quantum transport?
- Motion of the atoms of the conductor
  - microscopically: electron-phonon coupling
  - nanostructures: nanoelectromechanical systems (NEMS)
  - New behavior in quantum nanostructures?



# Outline

- part 1: electronic transport in quantum nanostructures
  - length and energy scales of quantum electronic transport
  - Coulomb blockade and quantum dots
  - fabrication of nanostructures and measurement techniques
- part 2: coupling of electronic and mechanical degrees of freedom

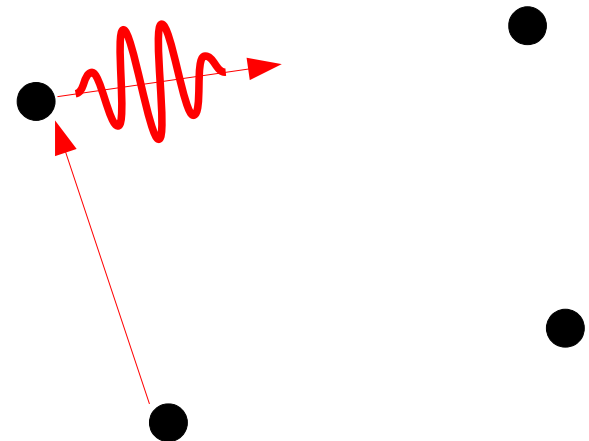
# Length and energy scales of quantum electronic transport

# Lengths scales for electronic transport

- Classical transport (Drude)
  - electron = particle (wave packet)
  - independent scattering (incoherent)
  - no interactions

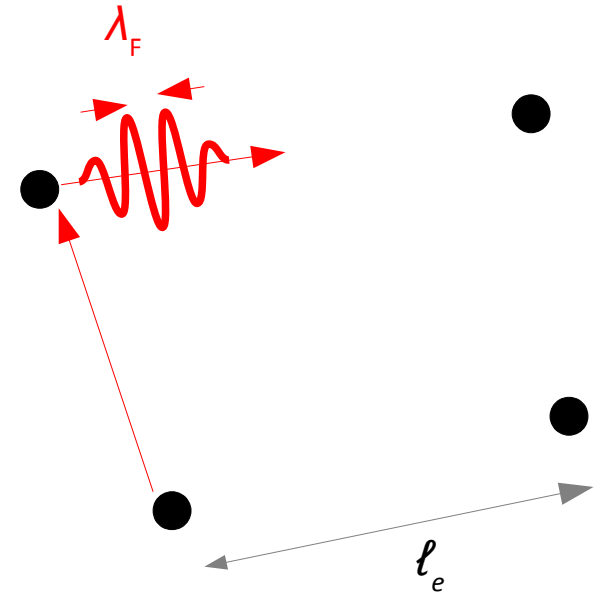
$$\mathbf{j} = \sigma \mathbf{E}$$

$$\sigma = \frac{n e^2 \tau_{tr}}{m}$$



# Lengths scales for electronic transport

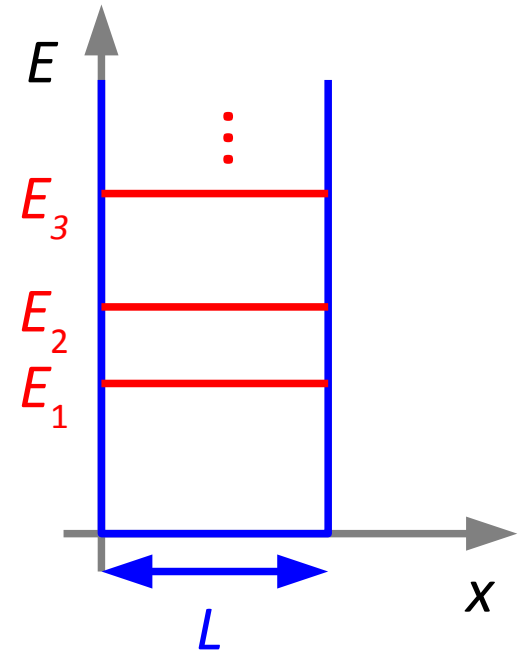
- Fermi wave length  $\lambda_F$ 
  - only electrons at  $E_F$  participate to the transport
- Mean free path  $\ell_e$ 
  - mean distance between two scattering events
- Phase coherent length  $\ell_\phi$ 
  - memory of the phase
- Classical limit:
  - $\lambda_F < \ell_e$  and  $\ell_\phi < \ell_e$



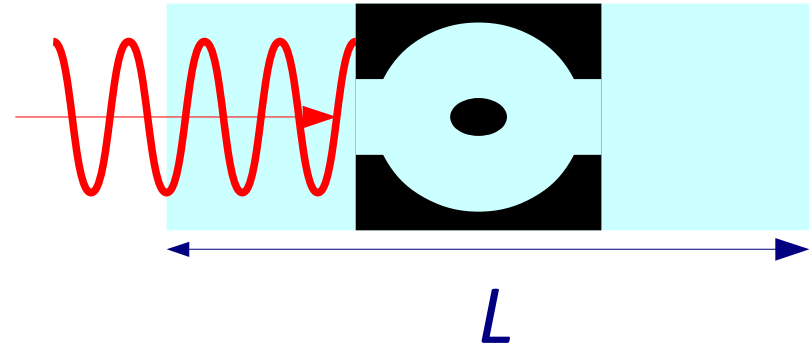
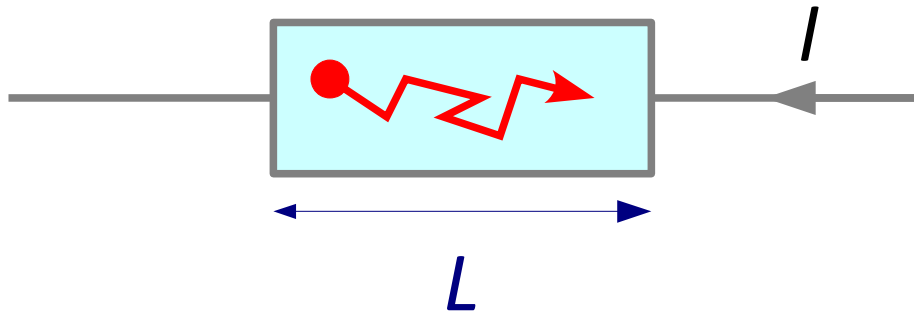


# Energy scales

- Level spacing  $\Delta = E_{i+1} - E_i$ 
  - energy quantization
- Charging energy  $e^2/C$ 
  - effect of electron-electron interactions
- Thermal energy  $k_B T$ 
  - energy averaging
  - decoherence (phonons, electron-electron interactions)



# Length and energy scales in nanostructures

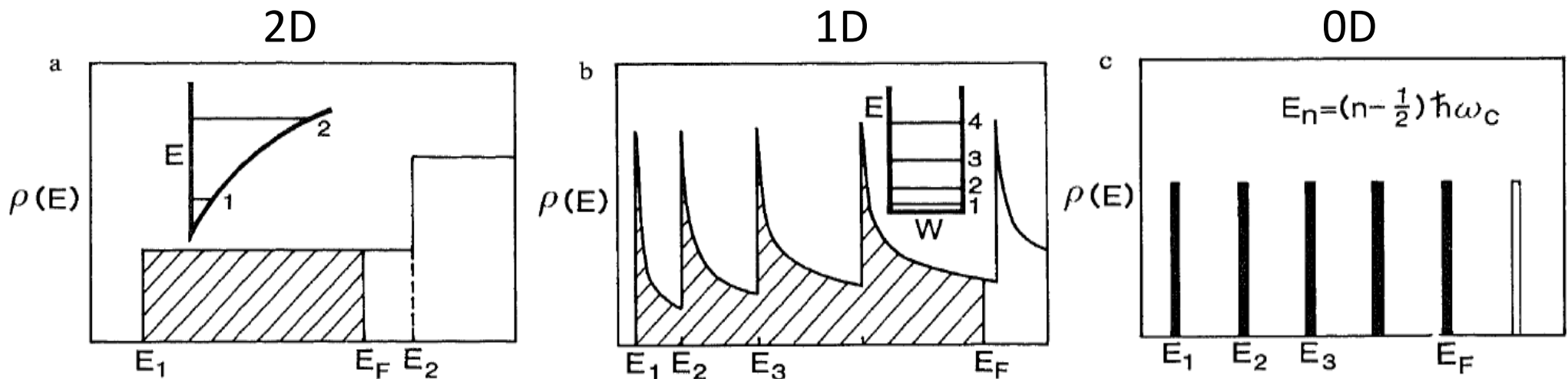


- $L \gg \ell_e$ : diffusive
- $L \gg \ell_\phi$ : incoherent
- $L \gg \lambda_F$  and/or  $\Delta < k_B T$ : no quantum confinement
- $e^2/C < k_B T$ : no charging effect

- $L < \ell_e$ : ballistic
- $L < \ell_\phi$ : coherent
- $L \sim \lambda_F$  and  $\Delta > k_B T$ : quantum confinement
- $e^2/C > k_B T$ : single charge effects

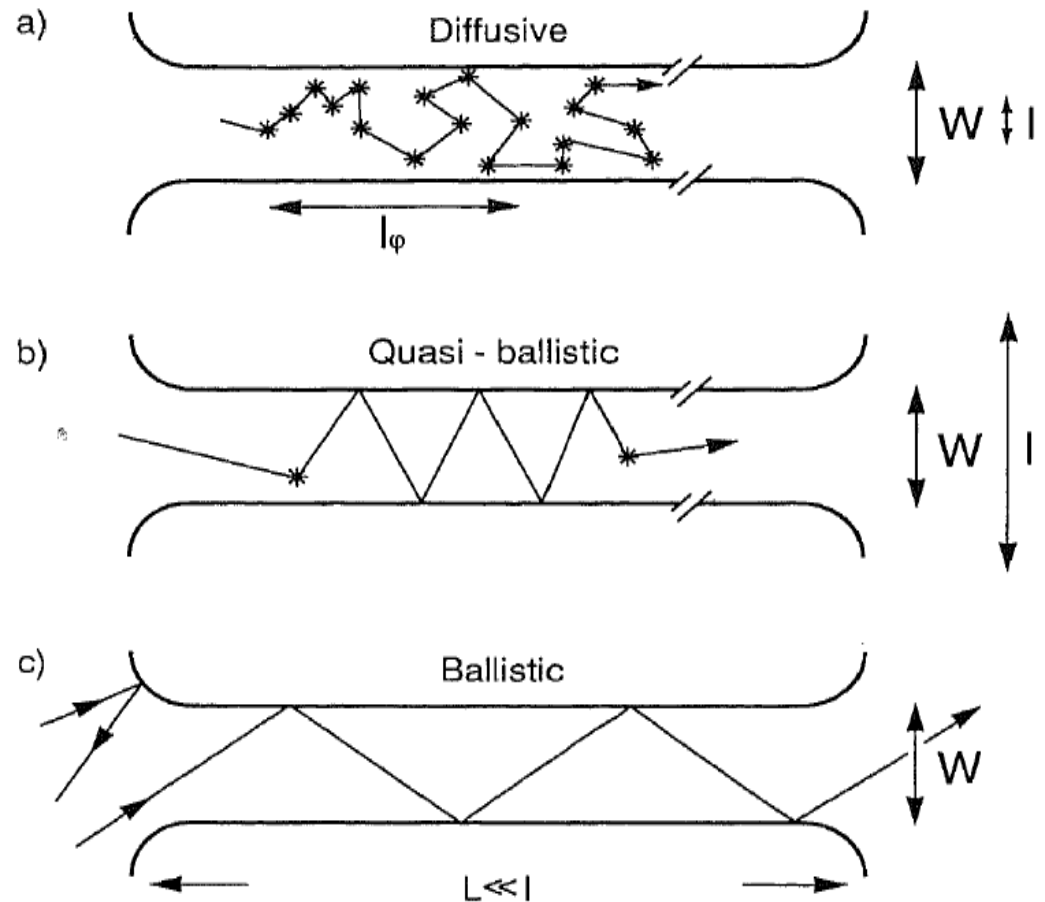
# Quantum confinement

- Size of the system  $L$
- $L \sim \lambda_f \Rightarrow$  quantum confinement (conductance quantization, nanophysics)
  - density of states in confined systems:



# Ballistic transport

- Size of the system  $L$
- $L < \ell_e \Rightarrow$  ballistic transport

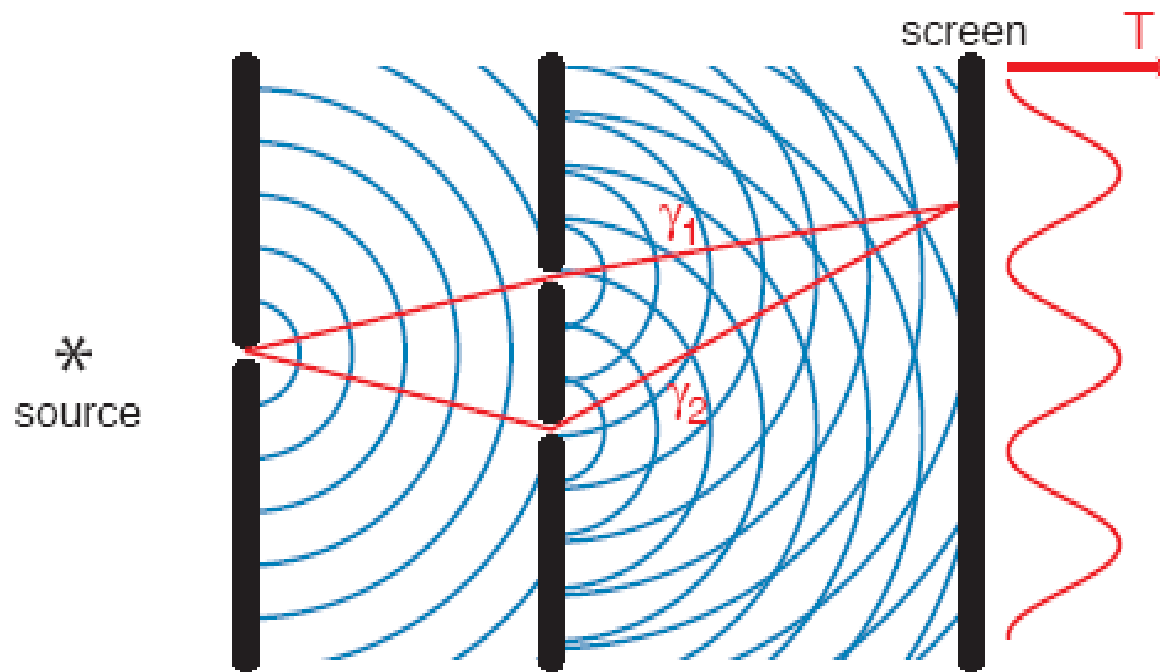


H. van Houten *et al.*, in *Physics and Technology of Submicron Structures* (Springer, Berlin, 1988)



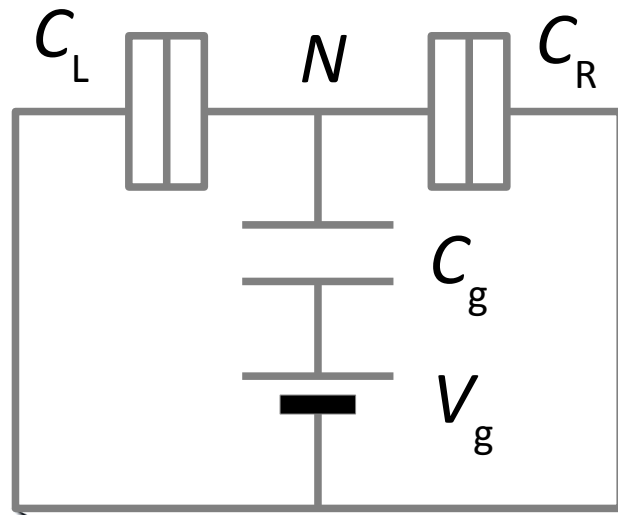
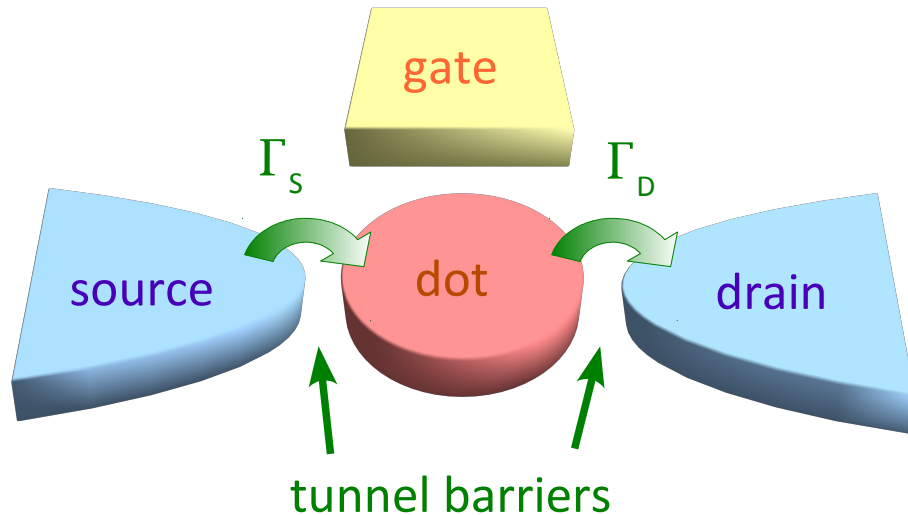
# Coherent transport

- Size of the system  $L$
- $L < \ell_{\phi} \Rightarrow$  interferences between electron wave functions (mesoscopic transport)



# Coulomb blockade and quantum dots

# Coulomb blockade: the single electron transistor



$$-Ne = Q_g + Q_L + Q_R$$

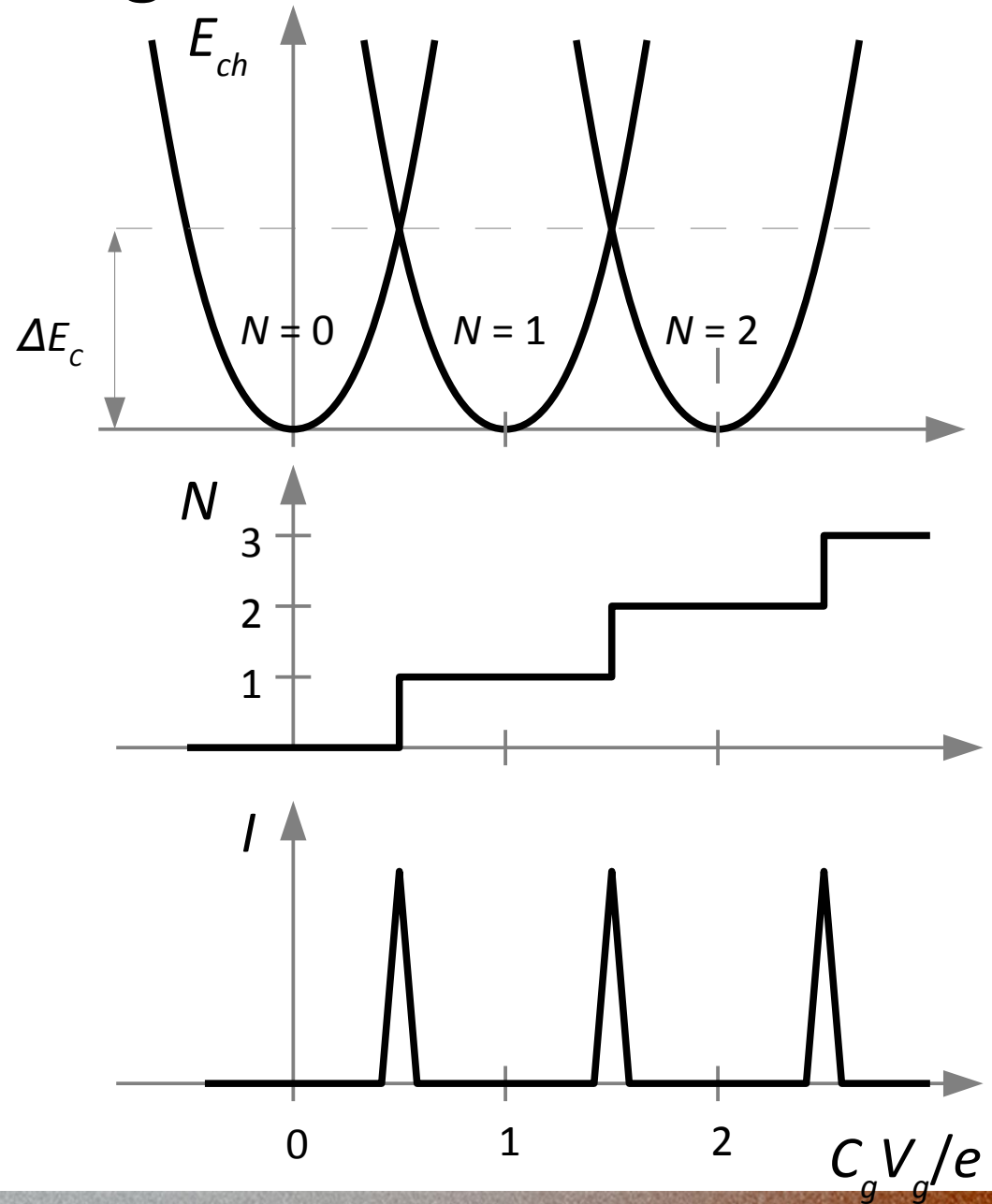
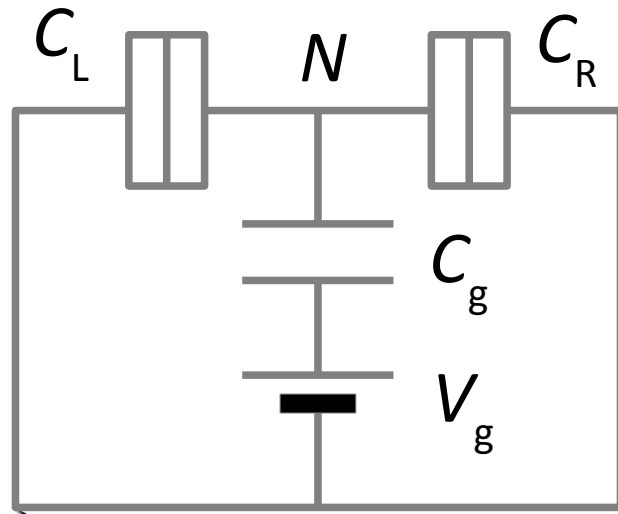
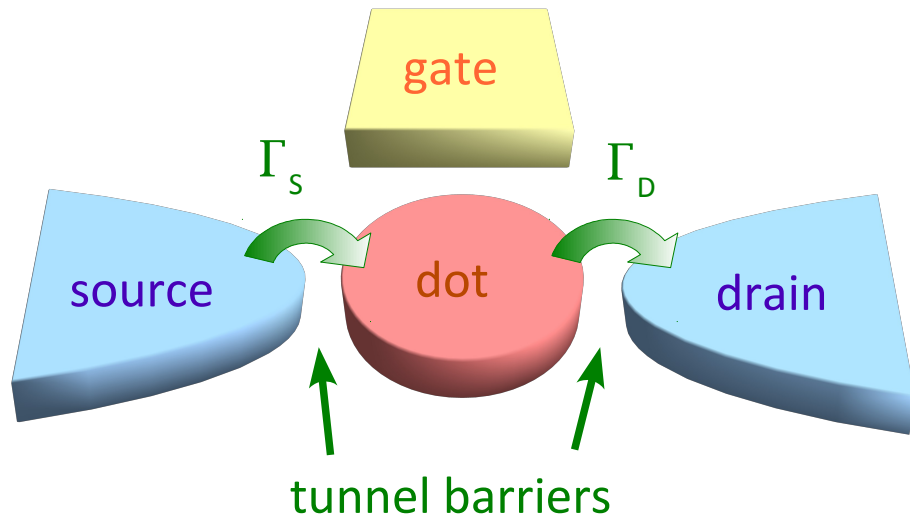
$$C = C_L + C_R + C_g$$

$$V_g = \frac{Q_L}{C_L} - \frac{Q_g}{C_g} = \frac{Q_R}{C_R} - \frac{Q_g}{C_g}$$

$$E_{ch}(N, V_g) = \frac{Q_L^2}{2C_L} + \frac{Q_R^2}{2C_R} + \frac{Q_g^2}{2C_g}$$

$$\approx \frac{(Ne - C_g V_g)^2}{2C}$$

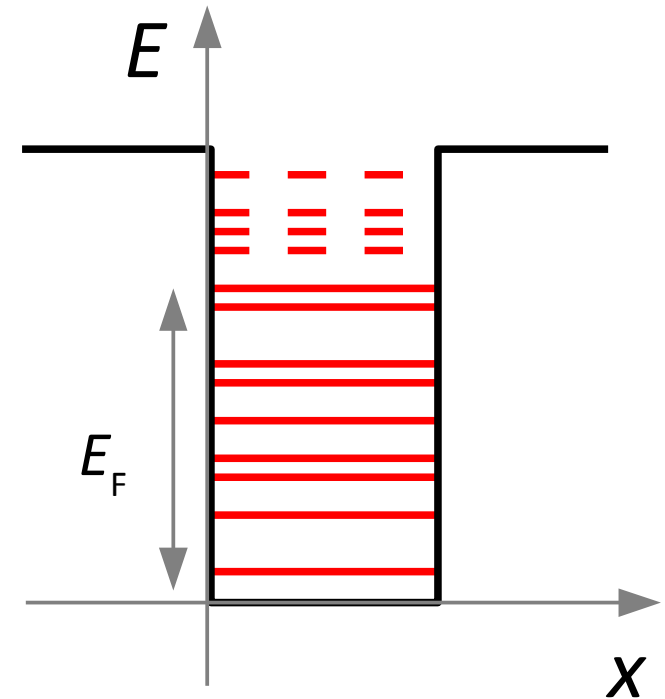
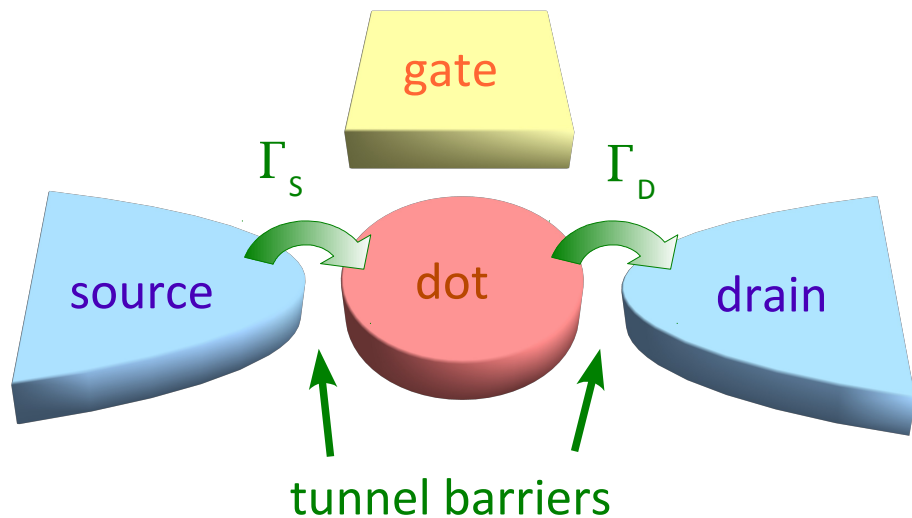
# Coulomb blockade: the single electron transistor





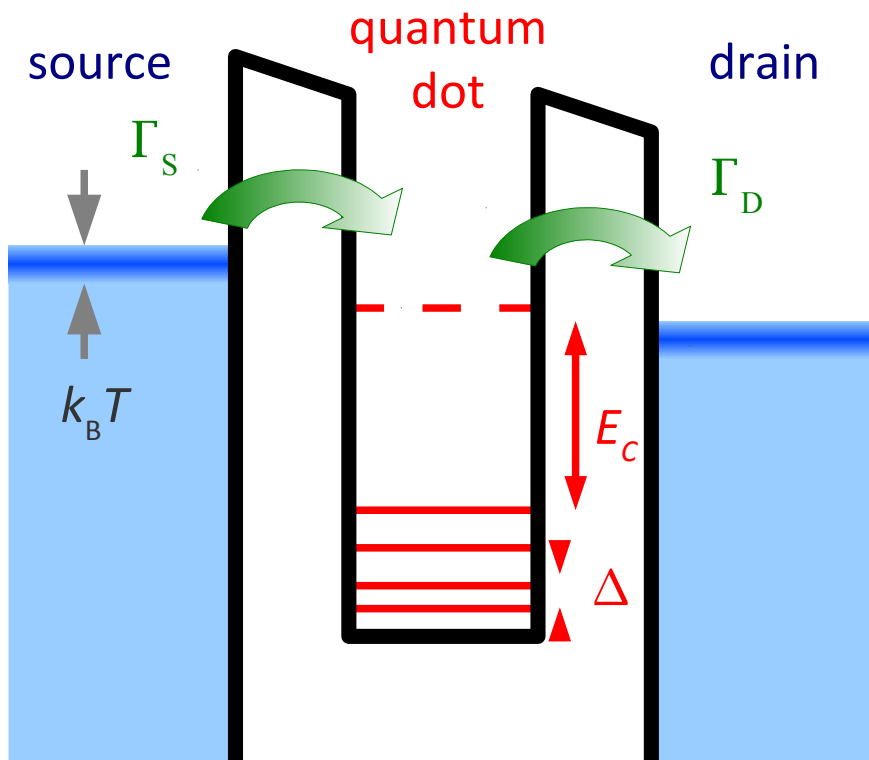
# Confinement energy: the quantum dot

- Non-interacting particles: interference between reflected electron paths (Fabry-Perot)  $\Rightarrow$  discrete spectrum



# Quantum dot = tunable artificial atom

- From the single electron transistor to the quantum dot



$$E_{ch}(N) \approx \left( N + \frac{1}{2} \right) E_C$$

$$E_C = \frac{e^2}{C} \approx \frac{e^2}{\epsilon_0 \epsilon_r L}$$

$\epsilon_r = 1 \Rightarrow E_C \approx 200 \text{ meV}$  pour  $L = 100 \text{ nm}$   
 ( $\epsilon_r < 1$  in usual semiconductors)

$$\Delta \approx \frac{\pi^2 \hbar^2}{m L^2}$$

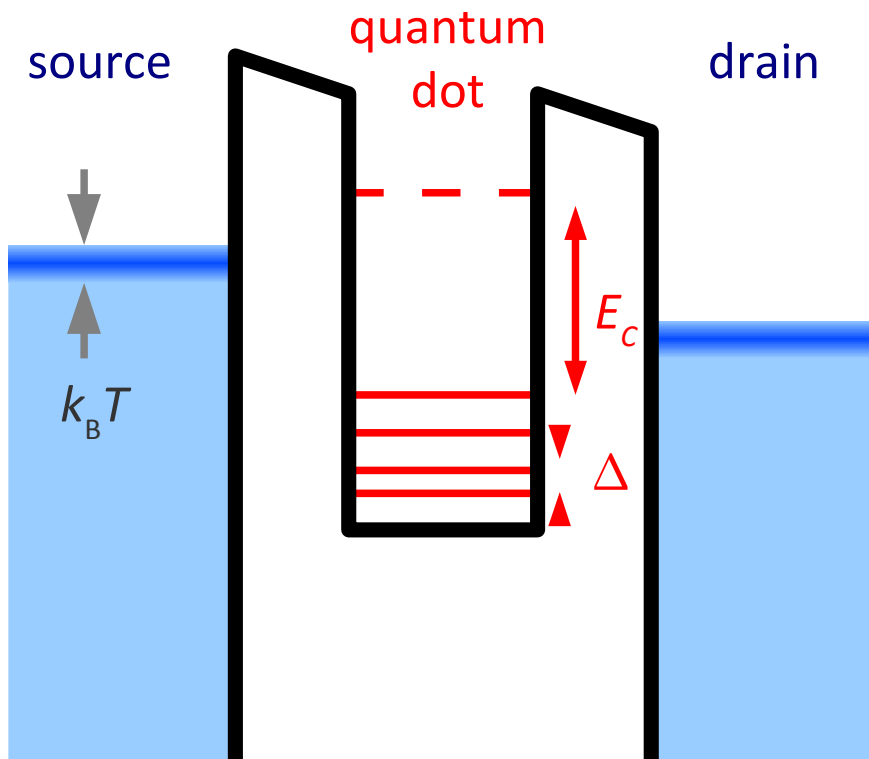
$$m = 9,1 \times 10^{-31} \text{ kg}$$

$$\Rightarrow \Delta \approx 75 \text{ } \mu\text{eV} \text{ for } L = 100 \text{ nm}$$

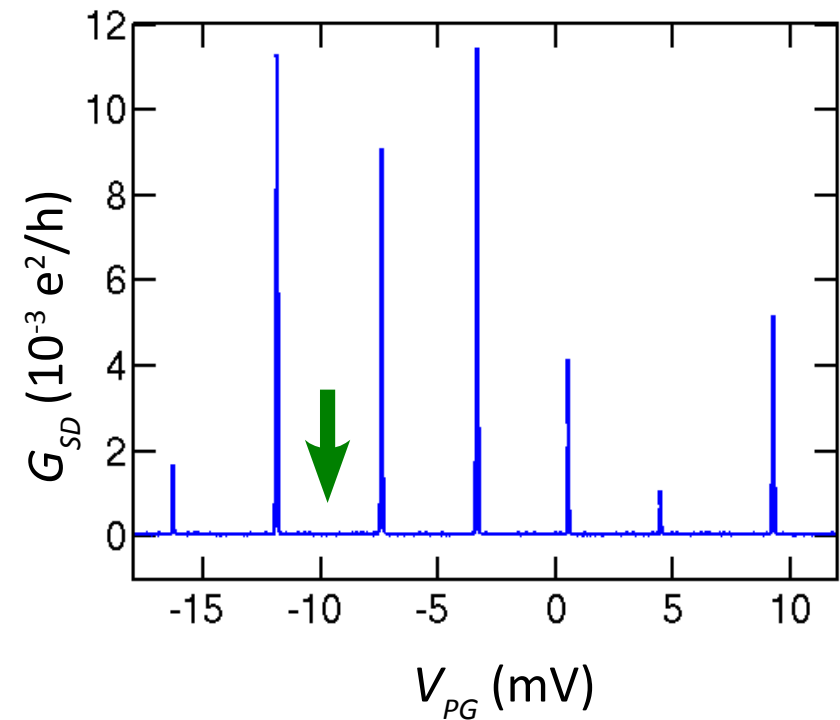
( $m_e^* < m_e$  in usual semiconductors)

# Electronic transport through a quantum dot

- Spectroscopy of electronic states

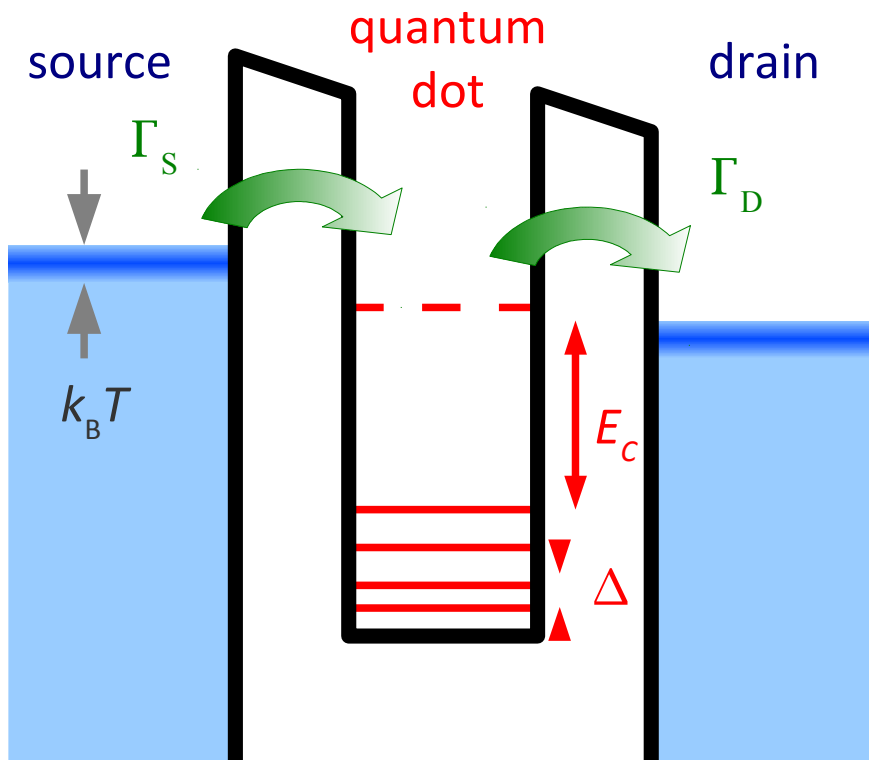


$$k_B T \ll \Delta < E_C$$

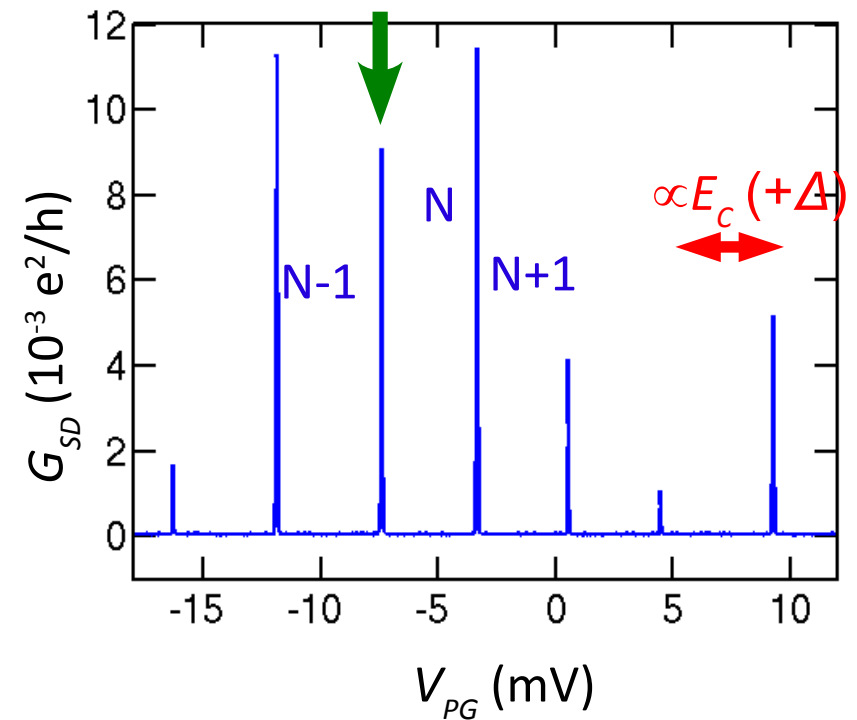


# Electronic transport through a quantum dot

- Spectroscopy of electronic states

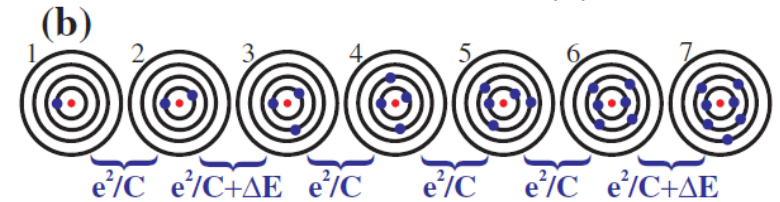
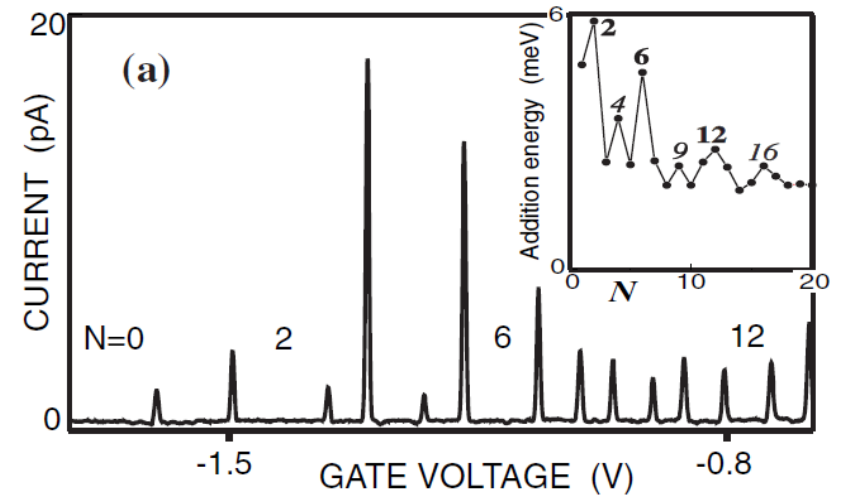
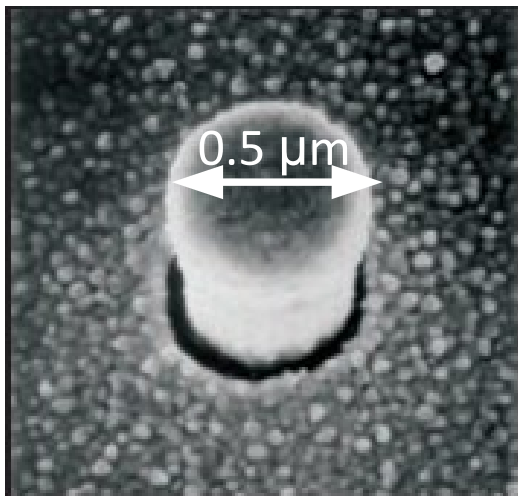
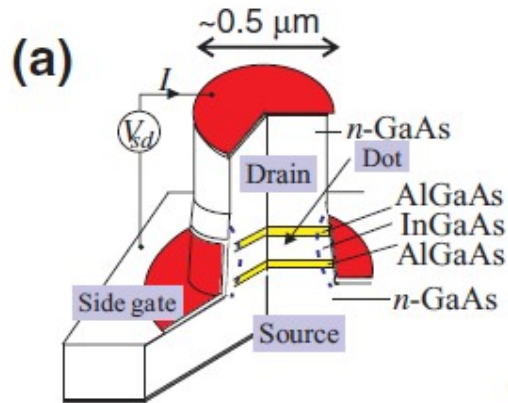


$$k_B T \ll \Delta < E_C$$





# Quantum dot = tunable artificial atom



(c) Periodic Table of 2D Artificial Atoms

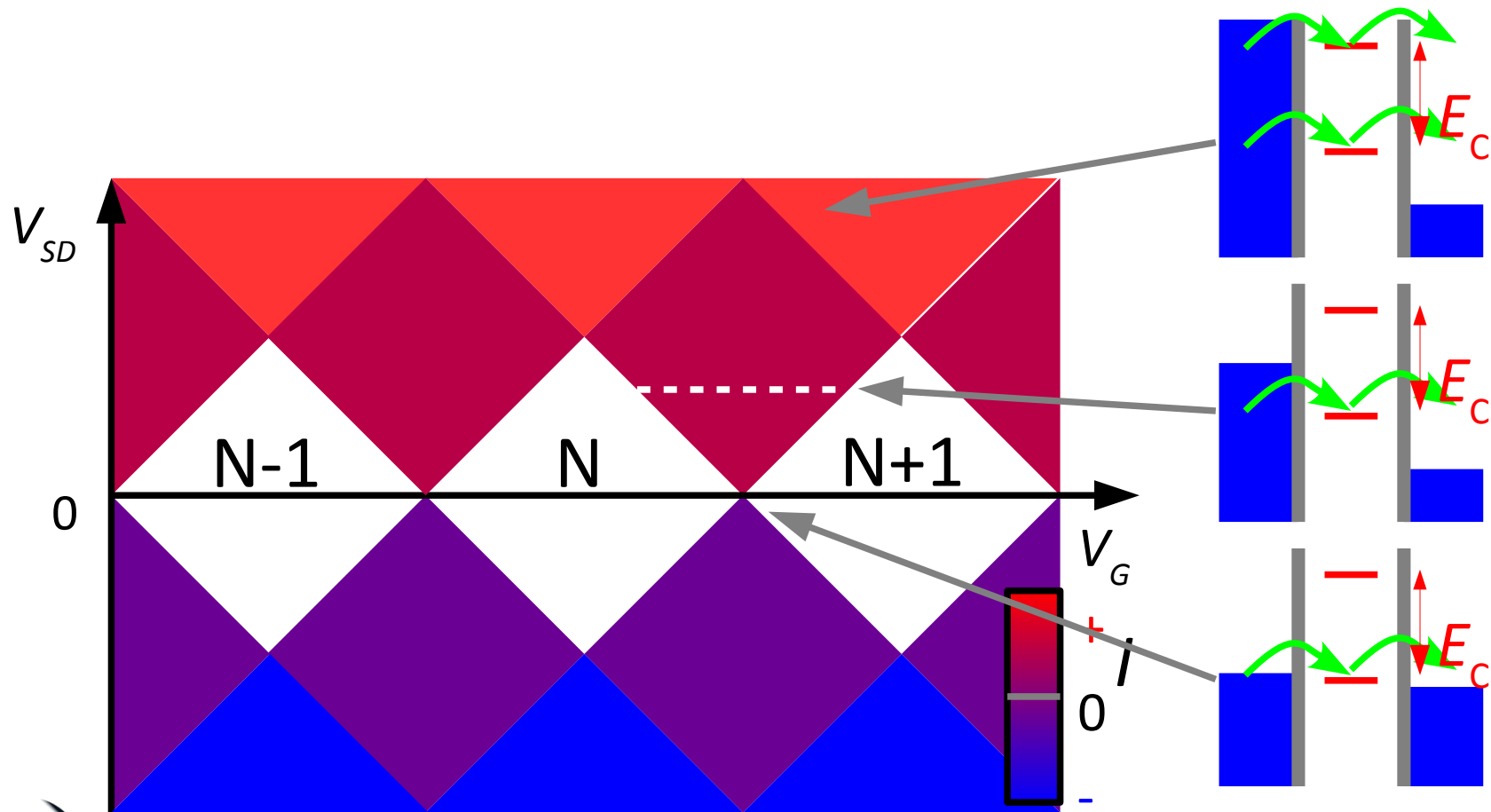
1 Ta						2 Ha
3 Et	4 Au				5 Ko	6 Oo
7 Sa	8 To	9 Ho			10 Mi	11 Cr
13	14	15	16 Wi	17 Fr	18 El	19
						20 Da

Diagram illustrating the structure of a quantum dot with two electrons (blue dots) and their orbital motion (gray ring).

L.P. Kouwenhoven, D.G. Austin & S. Tarucha  
Rep. Prog. Phys **64**, 701 (2001)

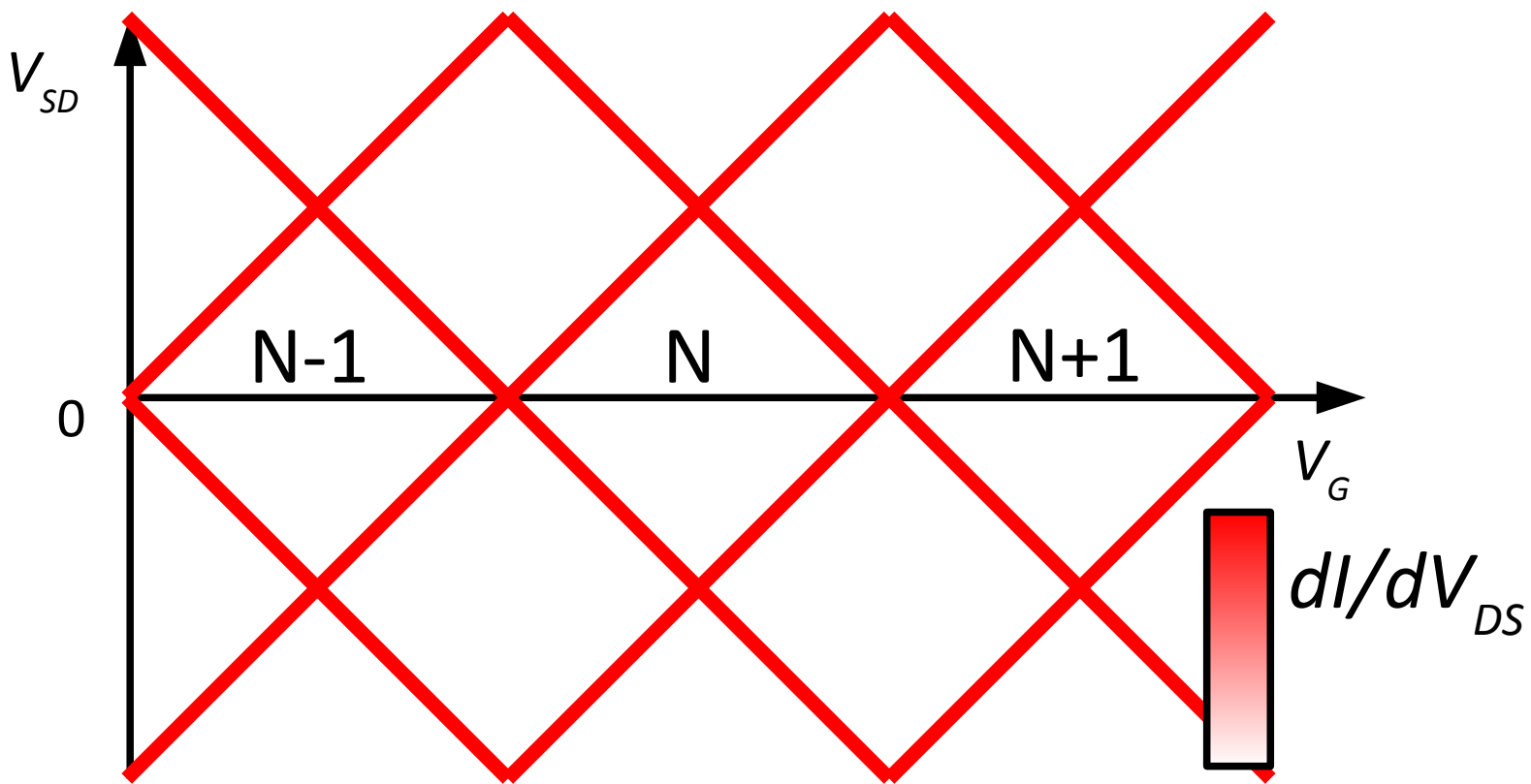
# Quantum dots

- Charge stability diagram



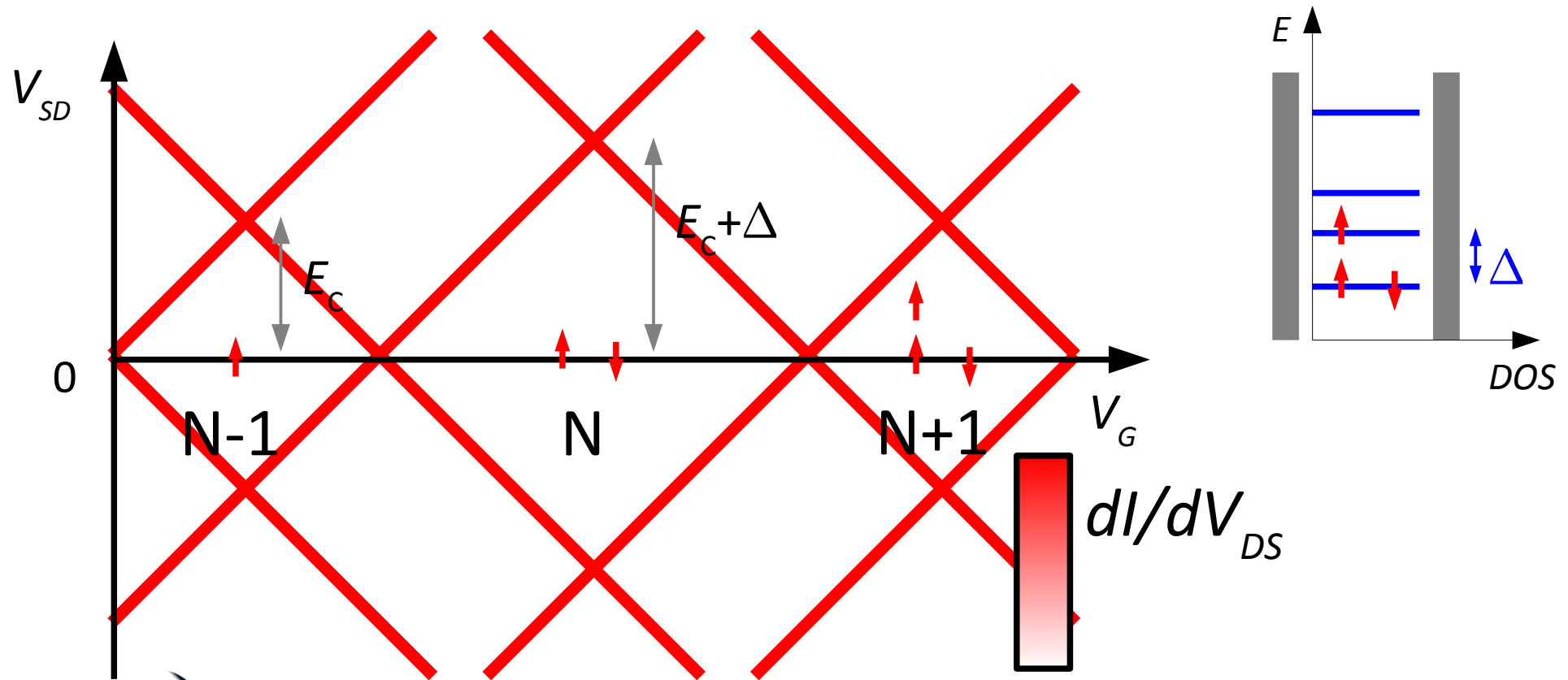
# Quantum dots

- Charge stability diagram (SET)



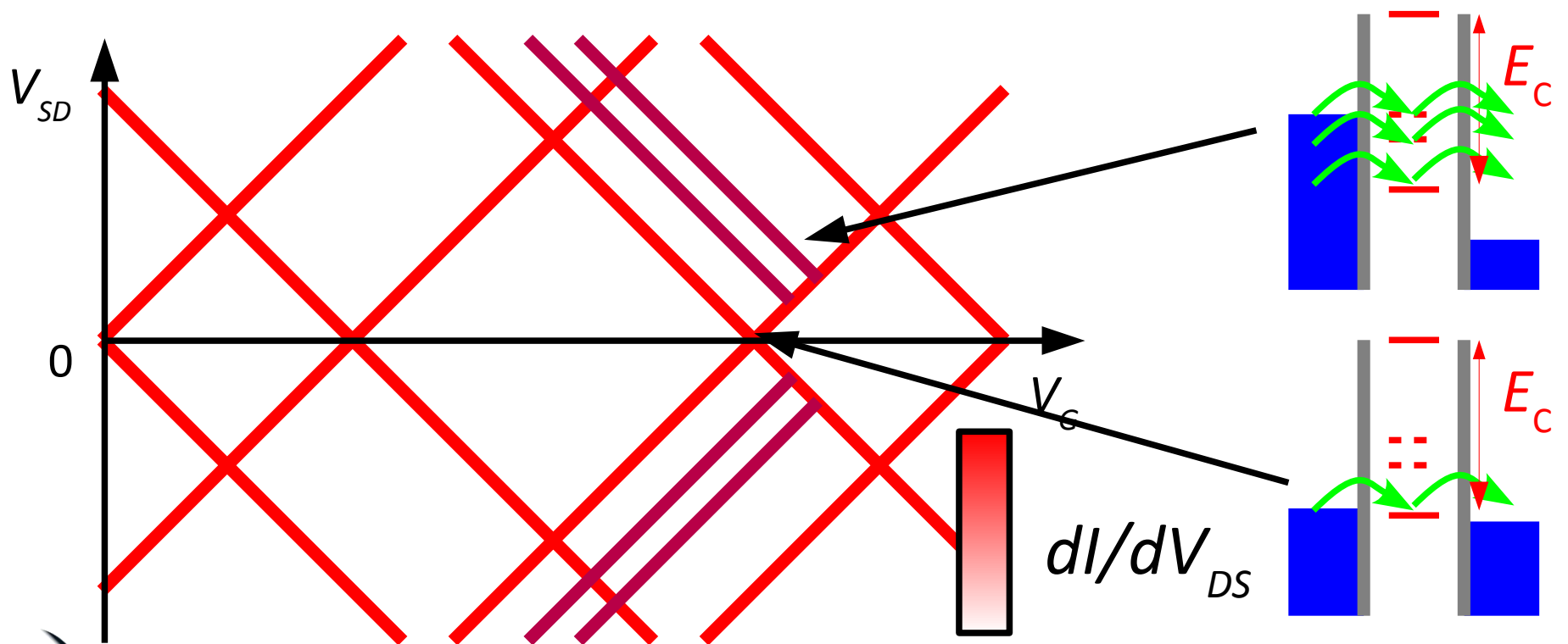
# Quantum dots

- Charge stability diagram (QD)



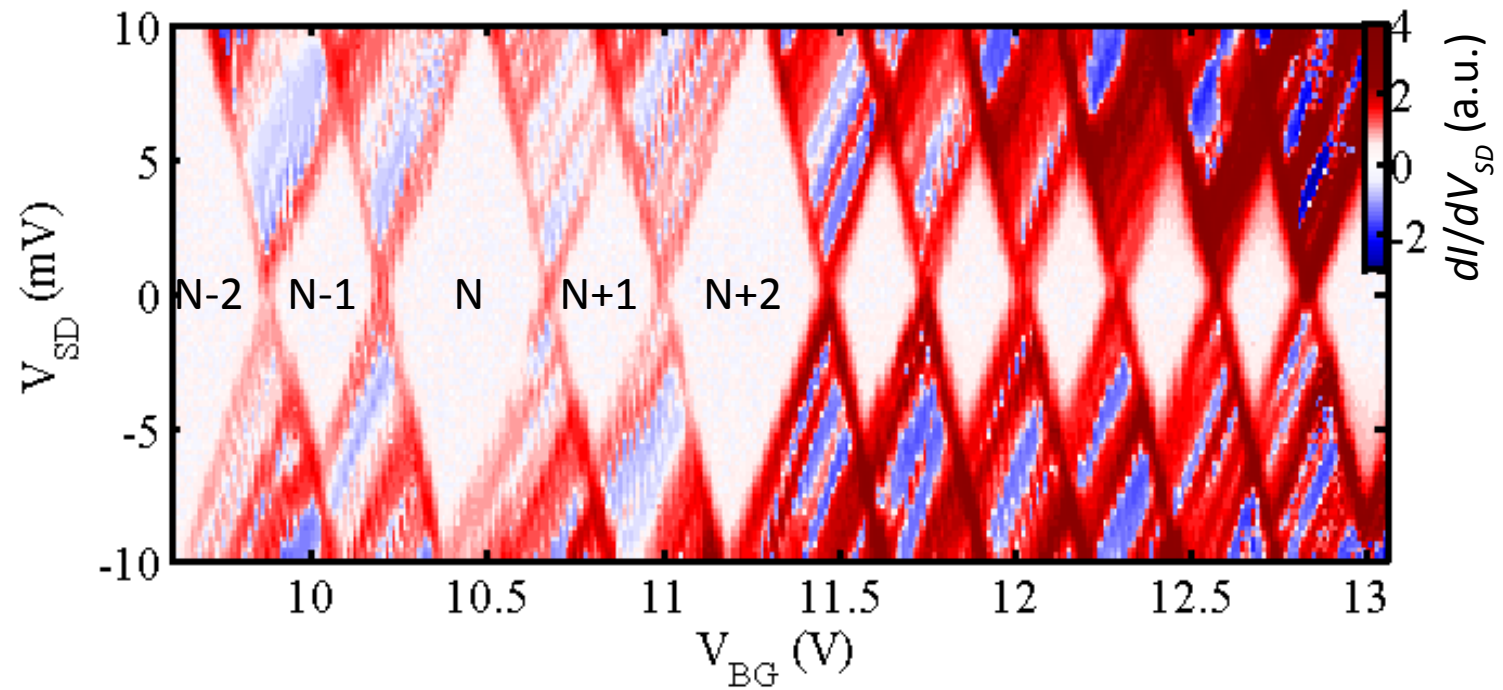
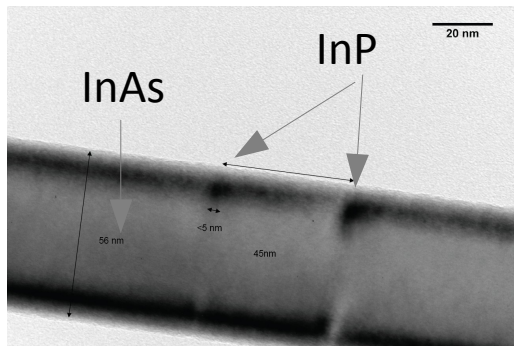
# Quantum dots

- Spectroscopy of excited states



# Quantum dots

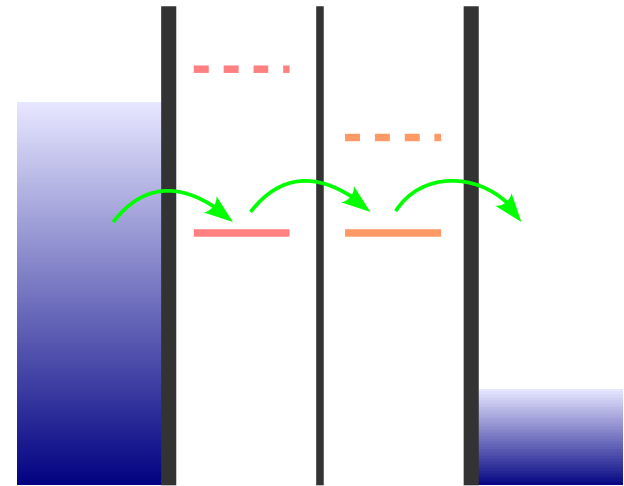
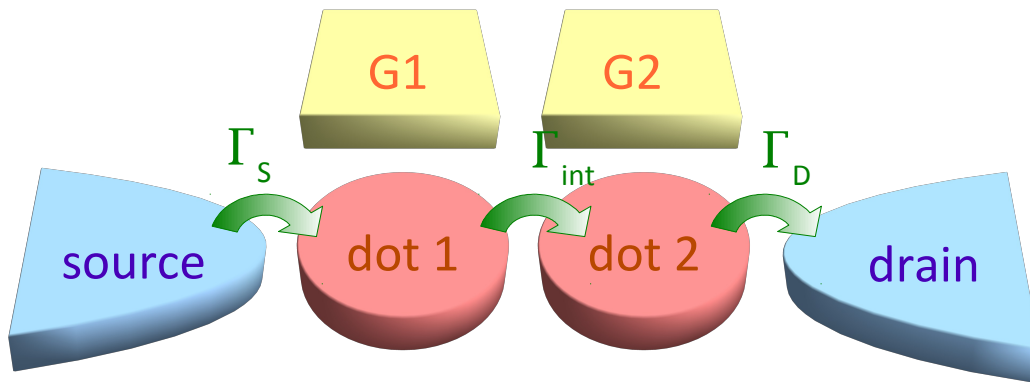
- experiment





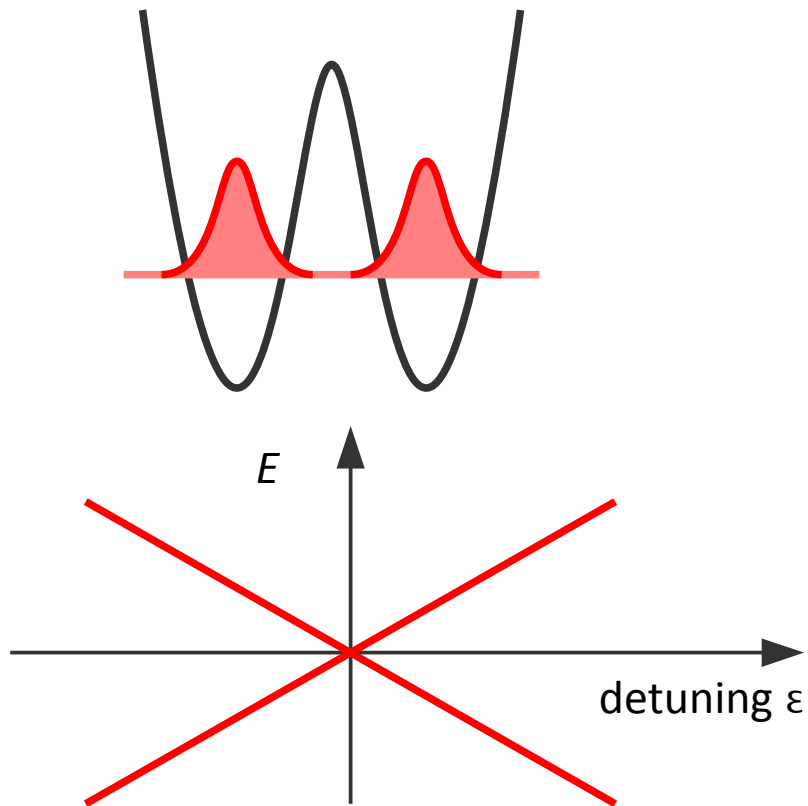
# Multiple quantum dots = artificial molecules

- Double quantum dot

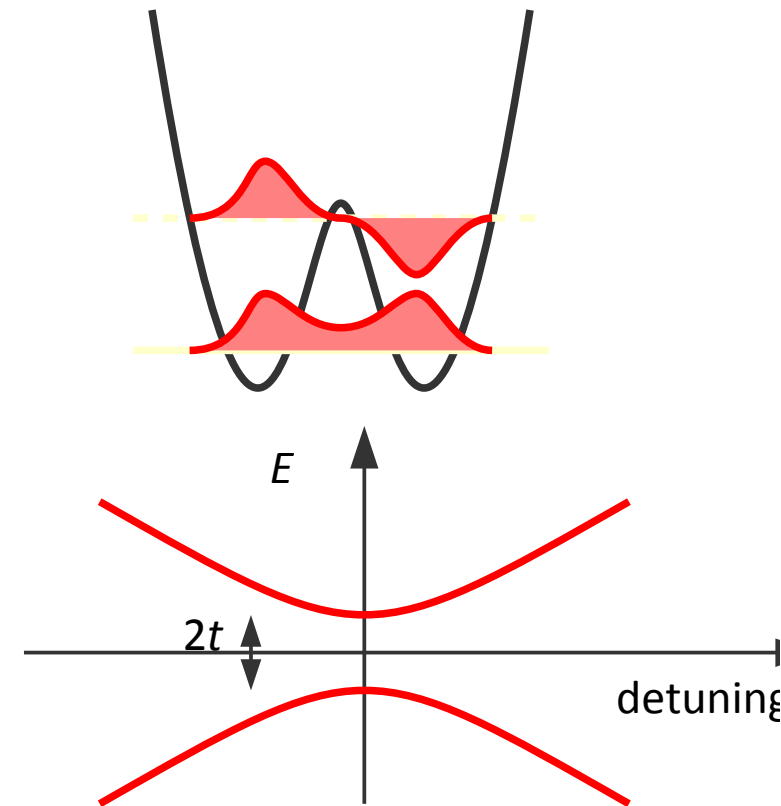


# Molecular states in a double quantum dot

- Weak coupling



- Strong coupling: bonding and antibonding states

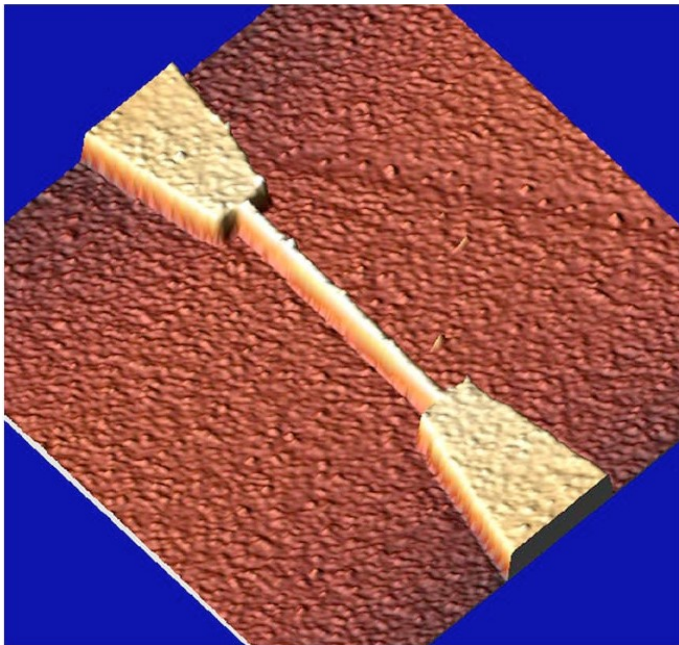


# Fabrication of nanostructures and measurement techniques

# Fabrication of nanostructures: top-down vs. bottom-up approaches

- Top-down

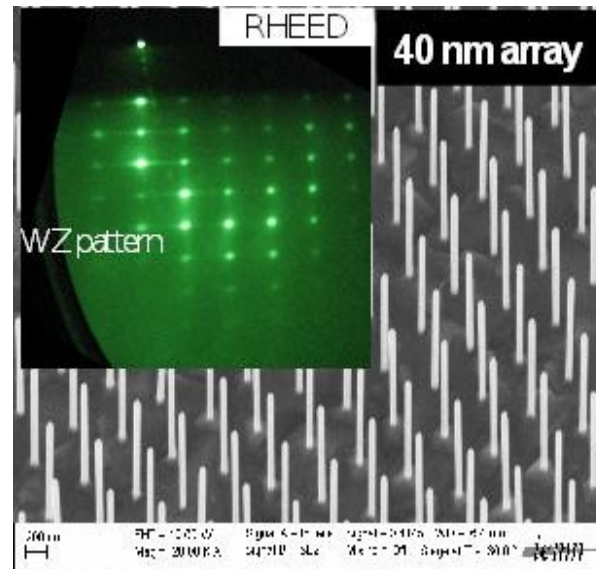
etched silicon nanowire  
(35 nm width)



F. Vaurette (IEMN)

- Bottom-up

MBE-grown InAs nanowires  
(40 nm diameter)



P. Caroff (IEMN)

# Fabrication of nanostructures: top-down vs. bottom-up approaches

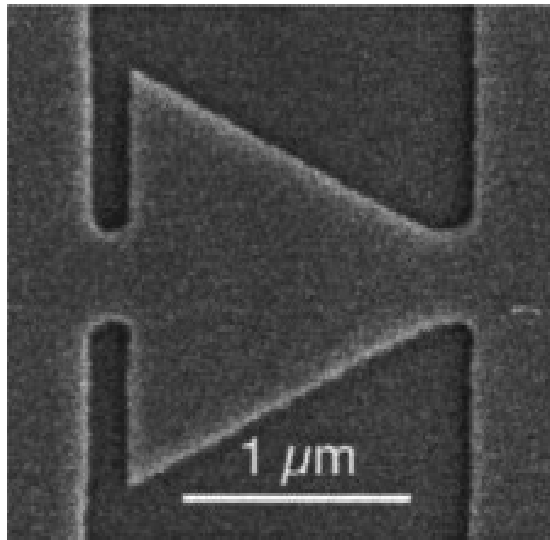
- Top-down
  - easy integration
  - large variety of shapes
  - BUT
  - defects due to the lithography process
  - sequential process (electron beam lithography)
- Bottom-up
  - defect free
  - highly parallel
  - often low cost
  - BUT
  - difficult integration
  - shapes defined by the process

often combine both!

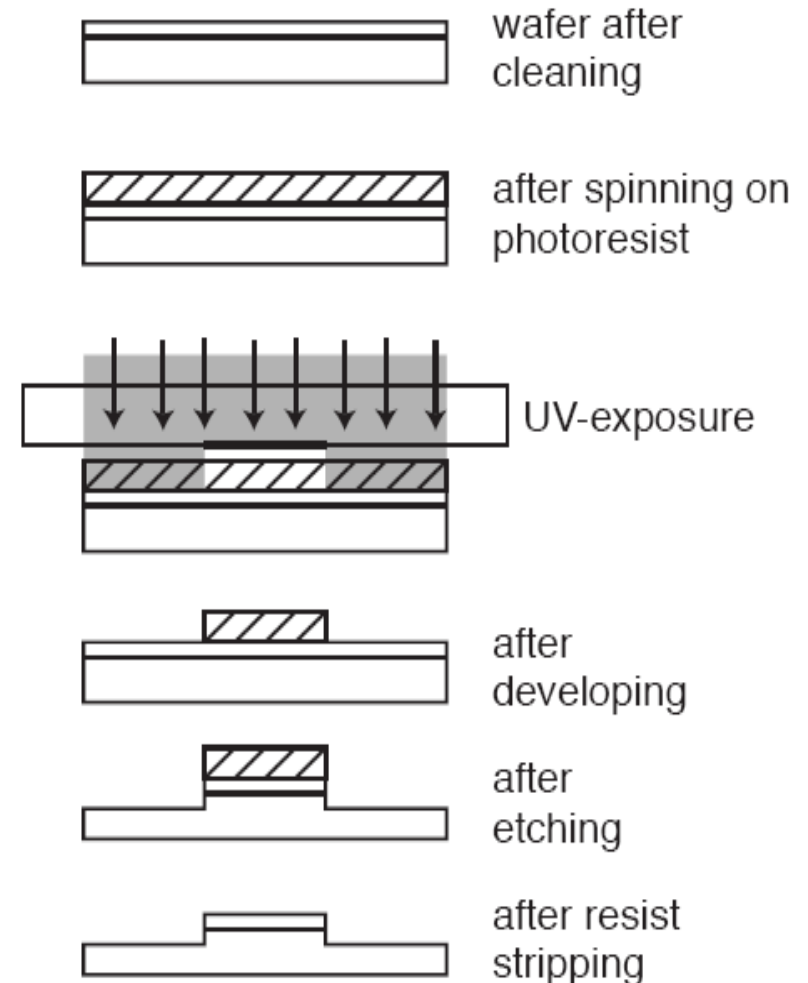
# Top-down approaches

- Litography (optical or electron beam)

Structure defined by etching



H. Linke *et al.*, Phys. Rev. B **51**, 15914 (2000)

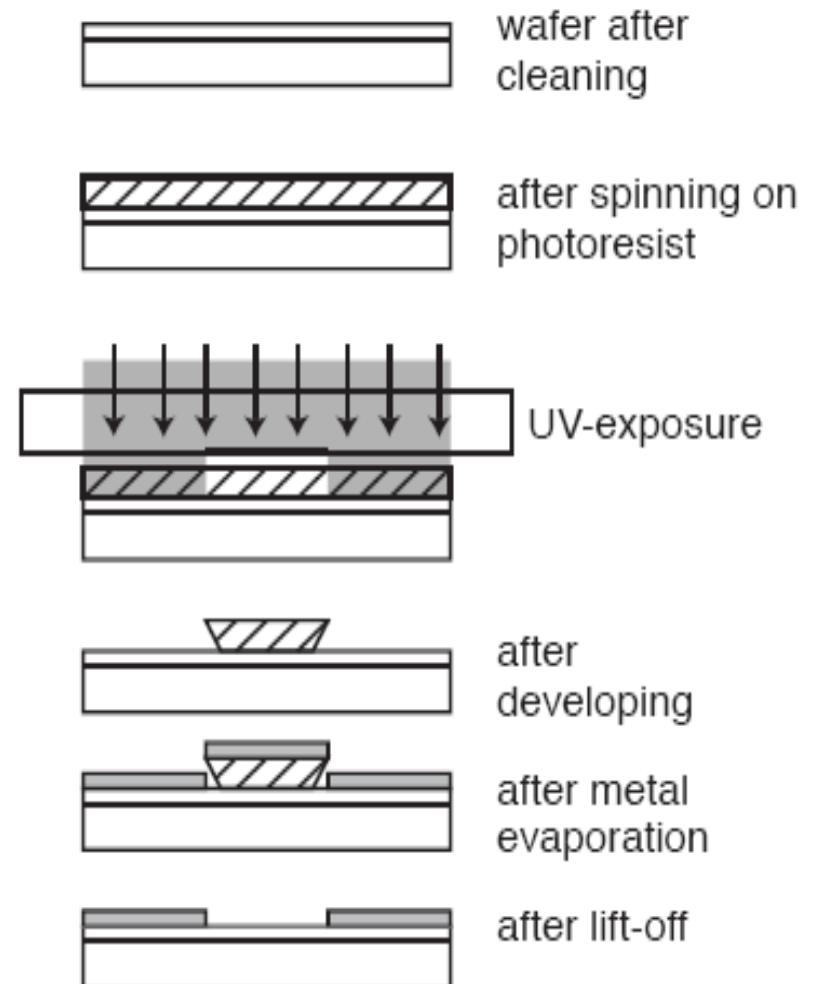
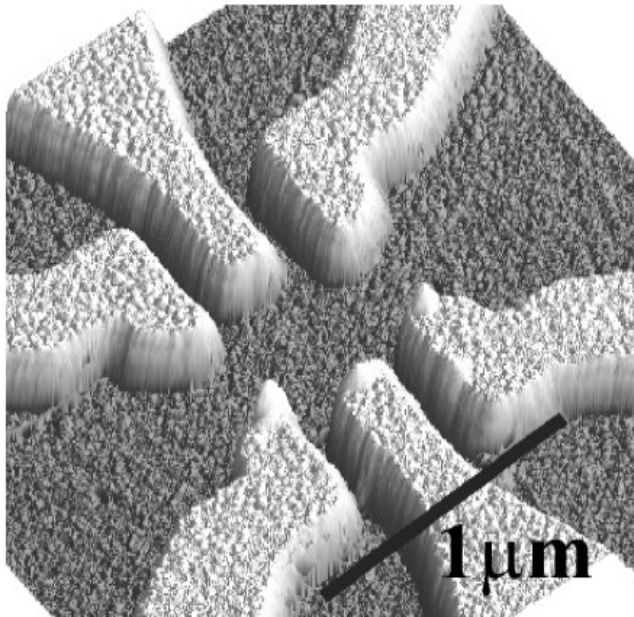




# Top-down approaches

- Litography (optical or electron beam)

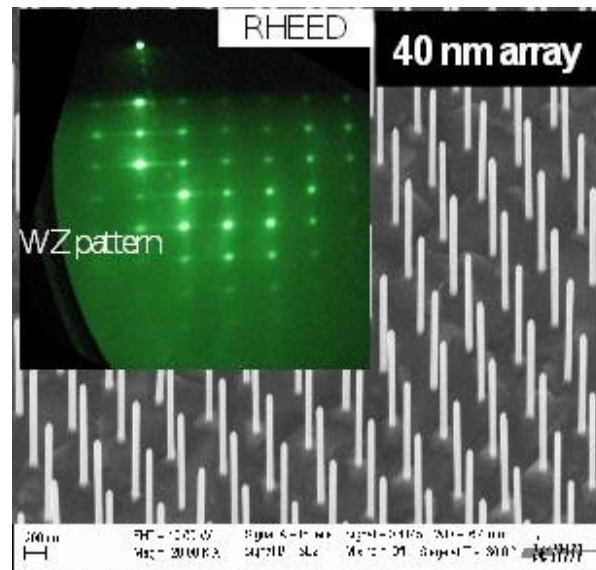
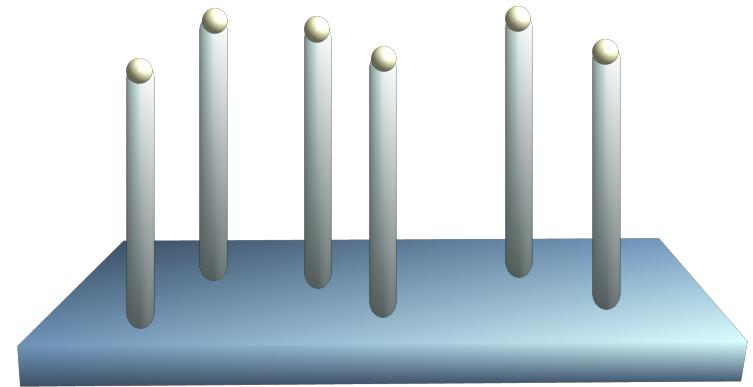
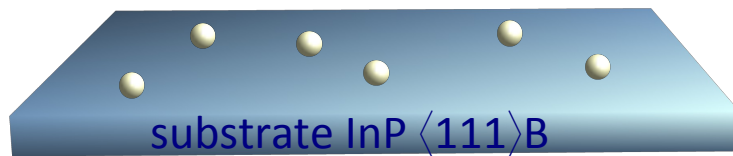
Structure defined by metal evaporation



# Bottom-up approaches

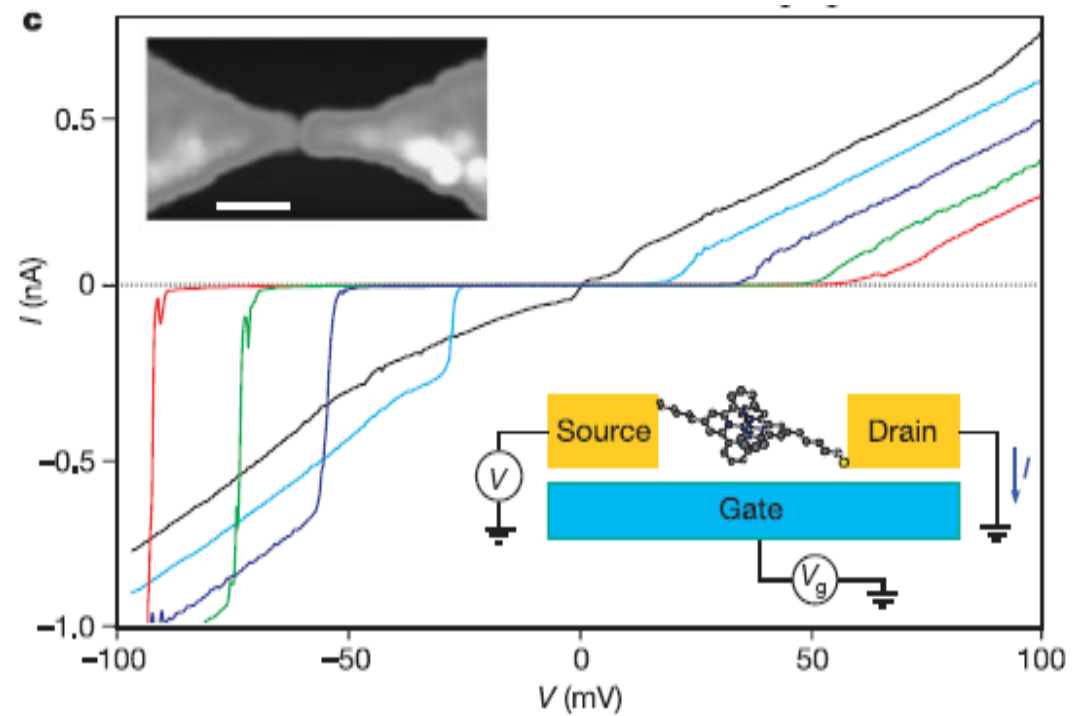
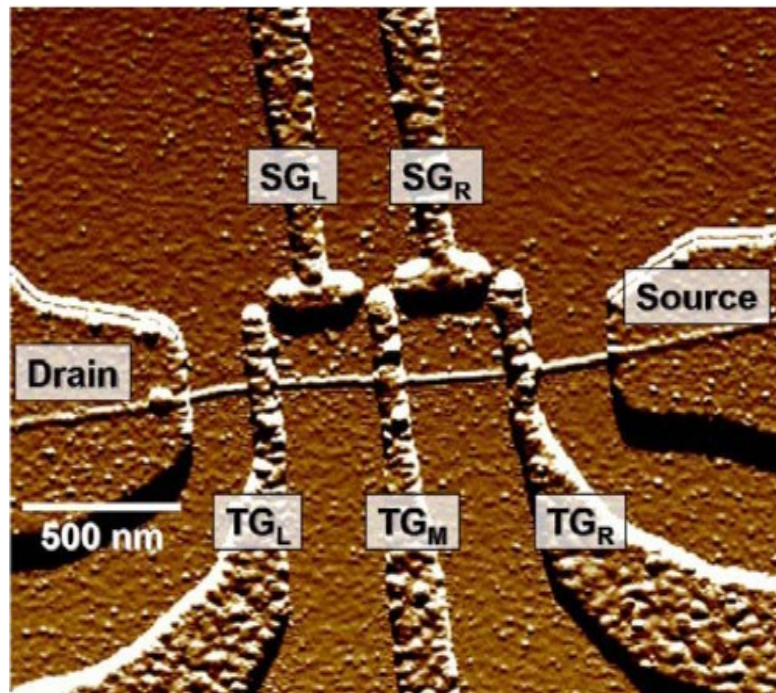
- Semiconductor nanowires

In + As



# Bottom-up approaches

- Molecular electronics
  - carbon nanotubes
  - single molecules

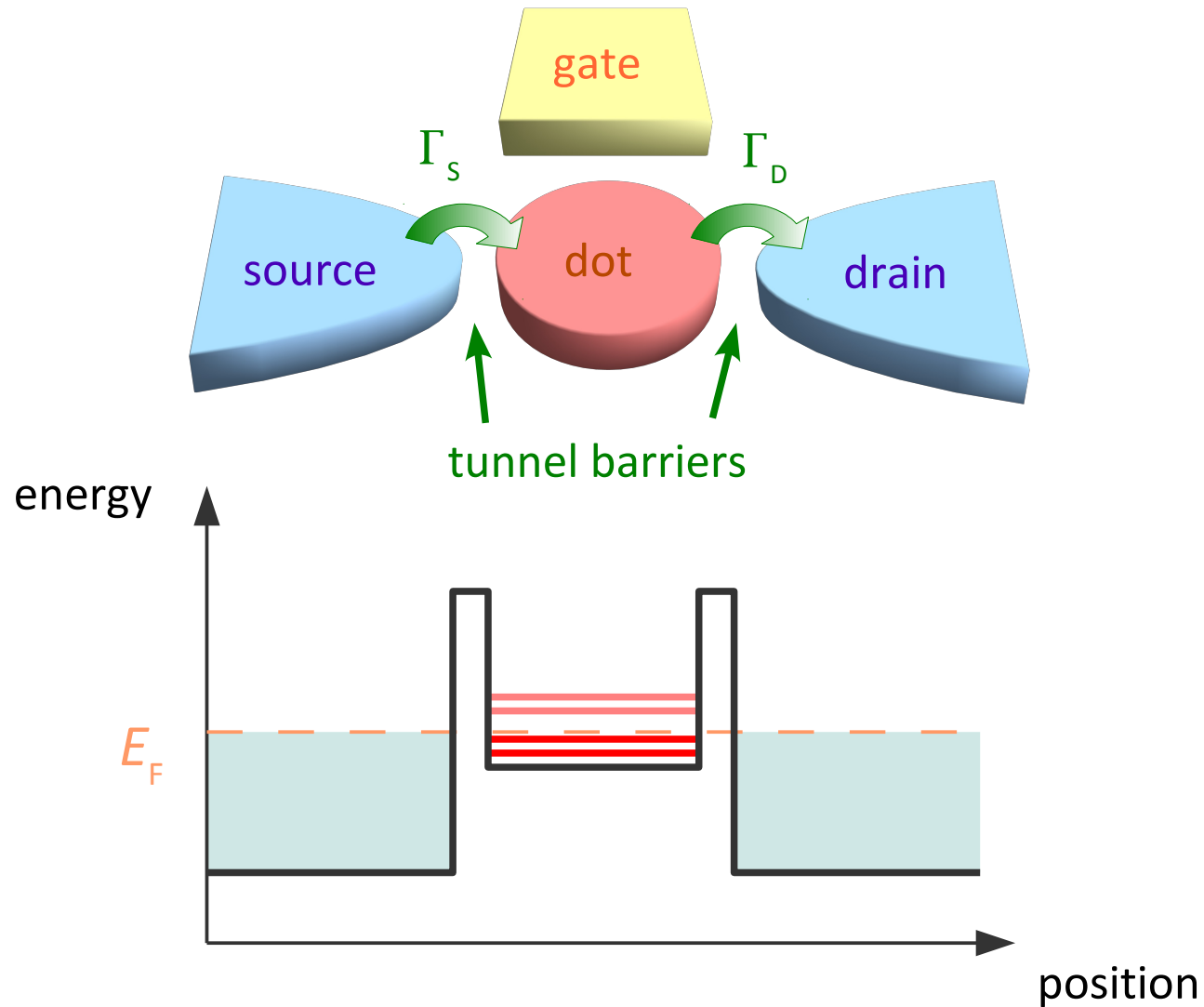


S. Samenz et al., Nano Lett. **6**, 1350 (2006)

Institut d'Electronique, de Microélectronique et de Nanotechnologie  
UMR CNRS 8520

J. Park et al., Nature **417**, 722 (2002)

# Quantum dots for electrical transport

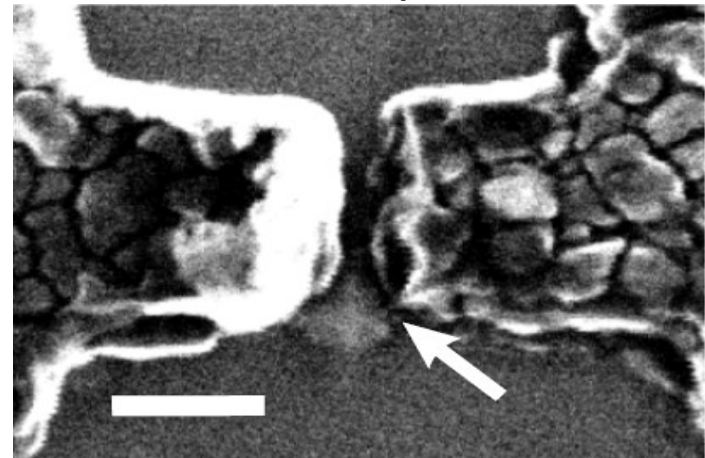


# Quantum dots for electronic transport

- Schottky contacts on a nanomaterial

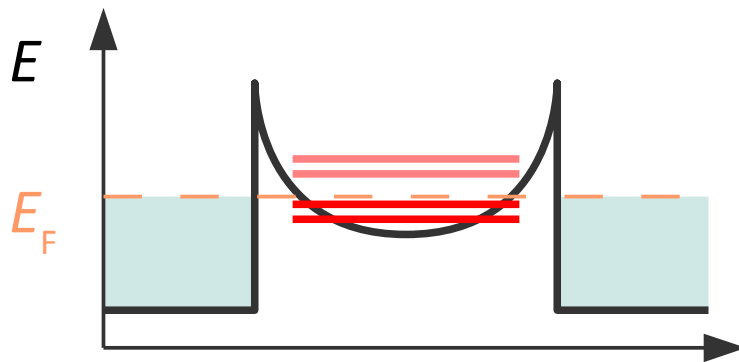
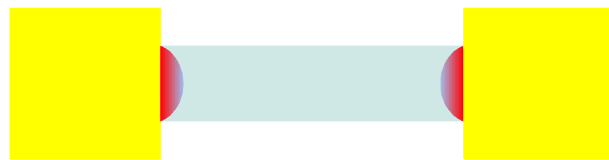
- easy to make
- average tunability

- self-assembled quantum dot



C. Buizert *et al.*, PRL **99**, 136806 (2007)

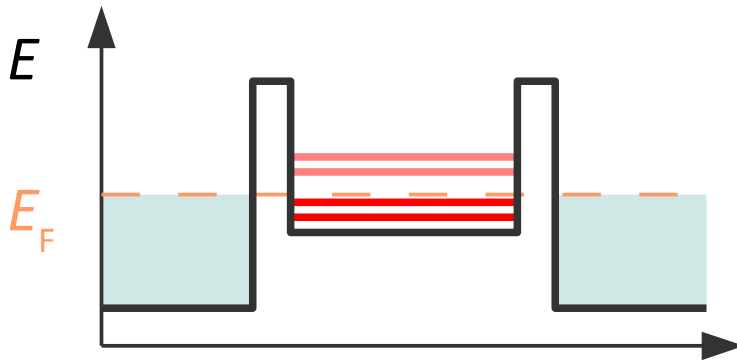
- semiconductor nanowires and carbon nanotubes



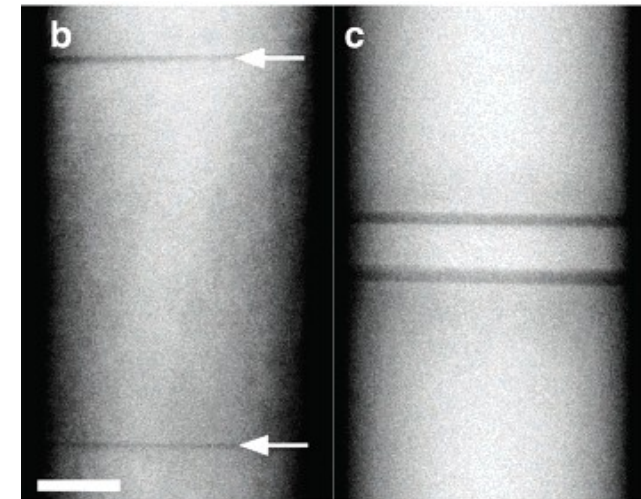


# Quantum dots for electronic transport

- Heterostructure
  - high reproducibility
  - controlled size
  - low tunability



- longitudinal heterostructures in nanowires

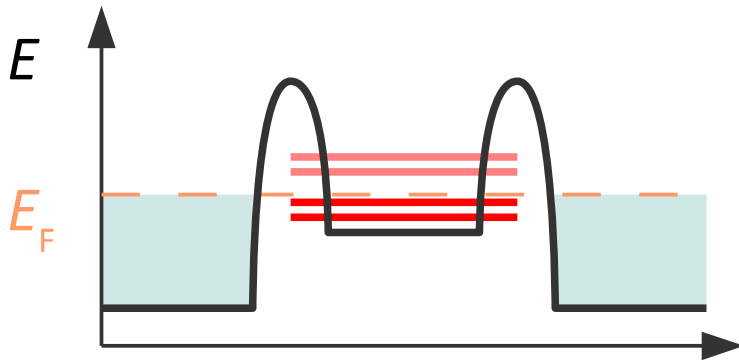


M. Björk *et al.*, Nano Lett. **4**, 1621 (2004)

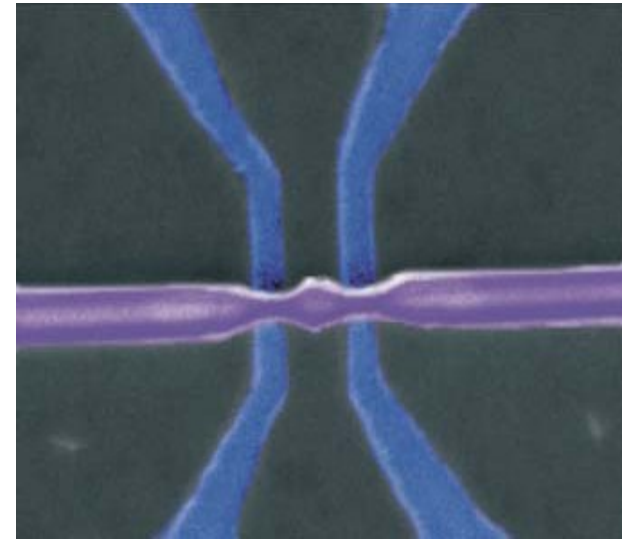


# Quantum dots for electronic transport

- Local etching
  - very versatile
  - average tunability



- local etching of a nanowire

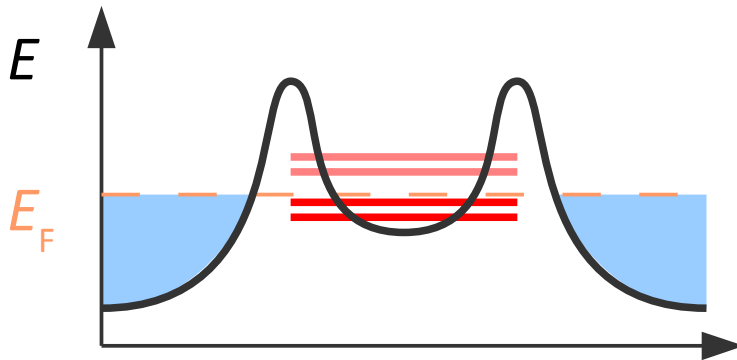
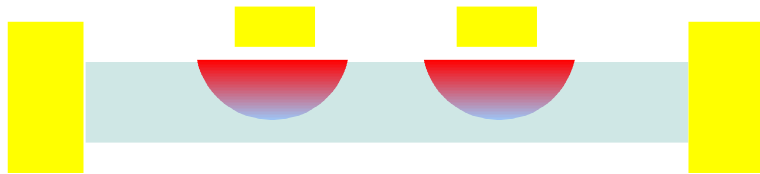
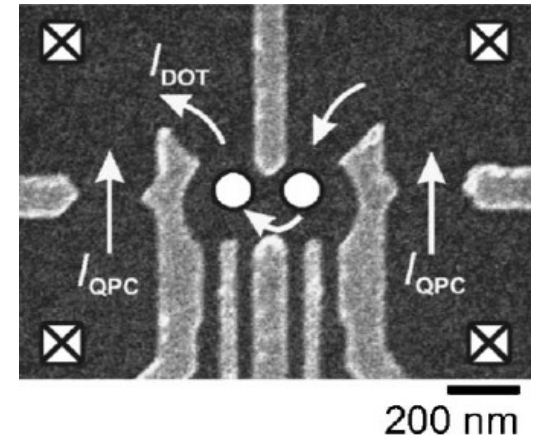
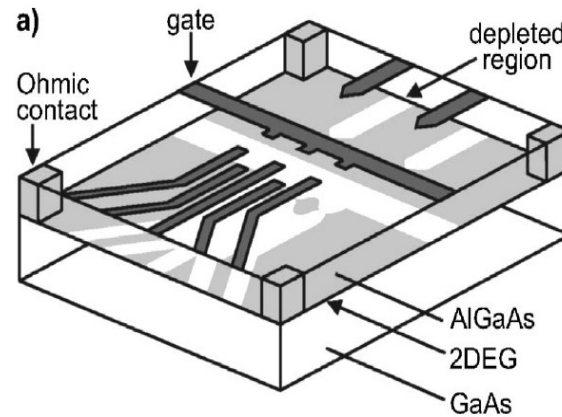


I. Shorubalko *et al.*, Nano Lett. **8**, 382 (2008)

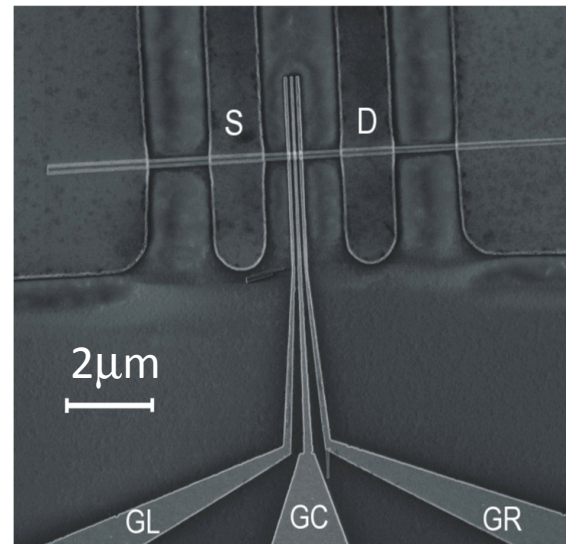
# Quantum dots for electronic transport

- from planar heterostructures

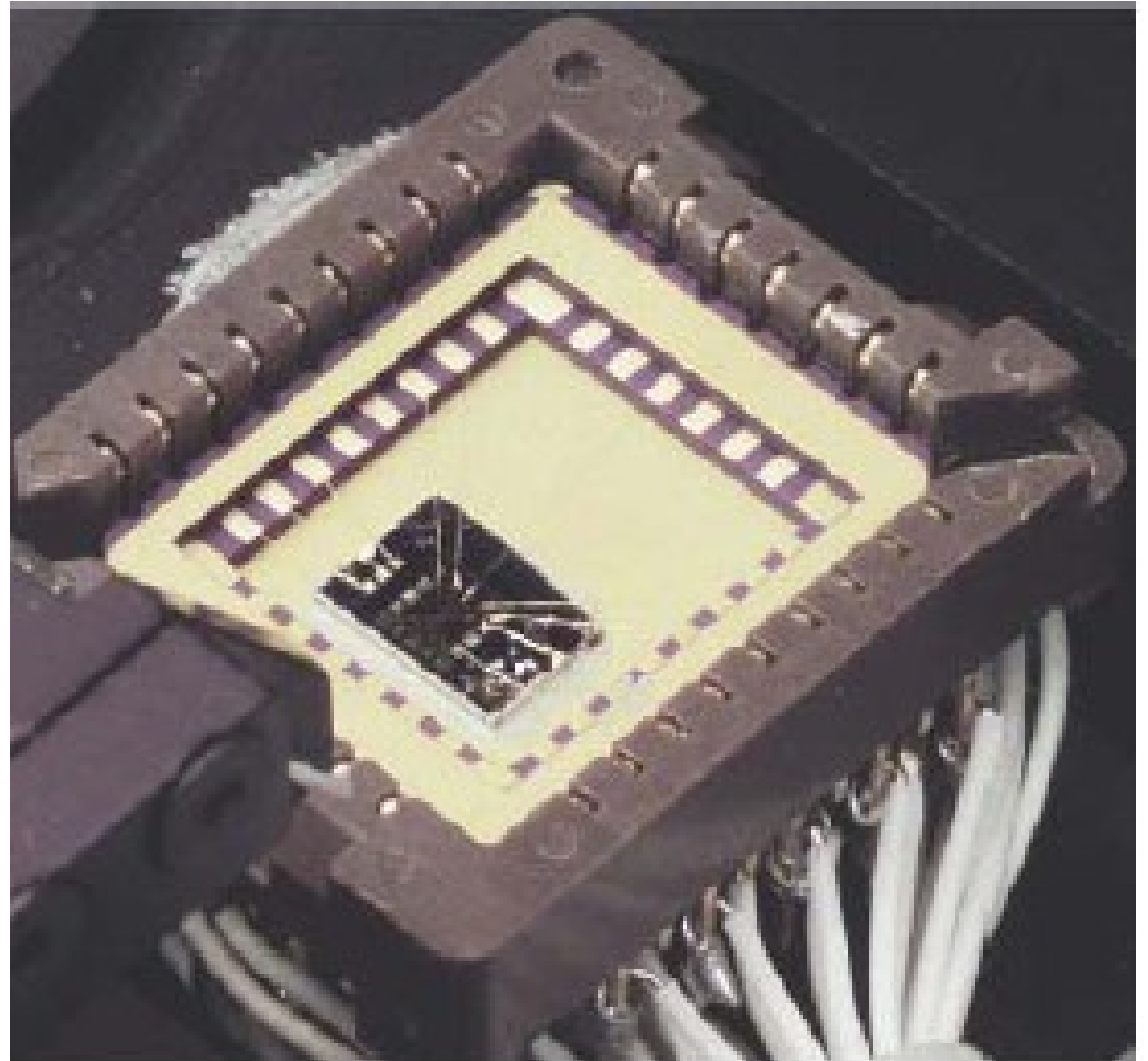
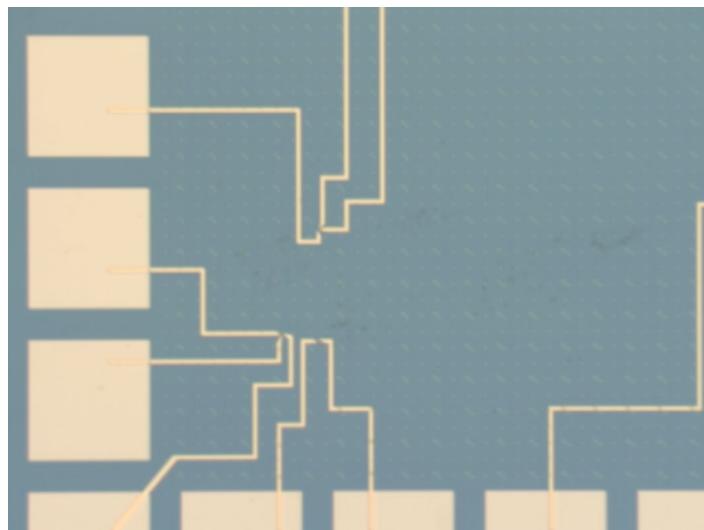
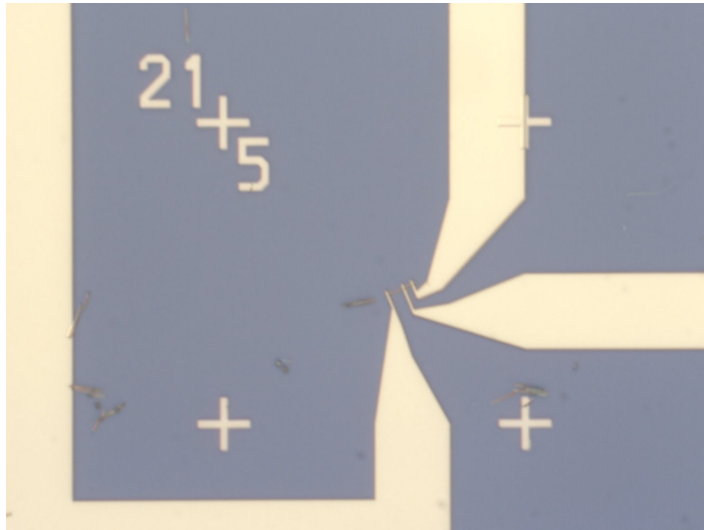
- Local depletion
  - high complexity
  - high tunability



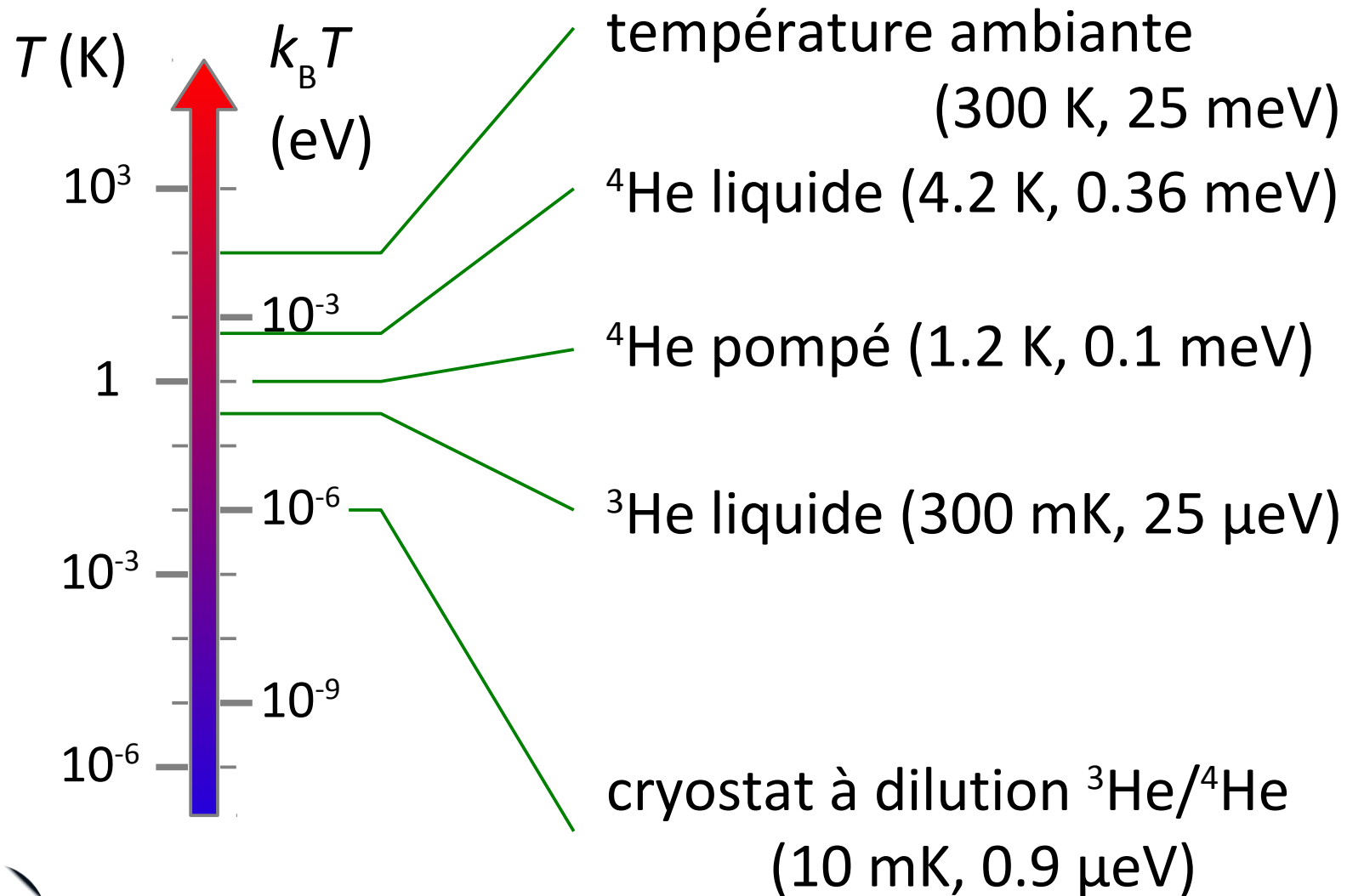
- or nanowires



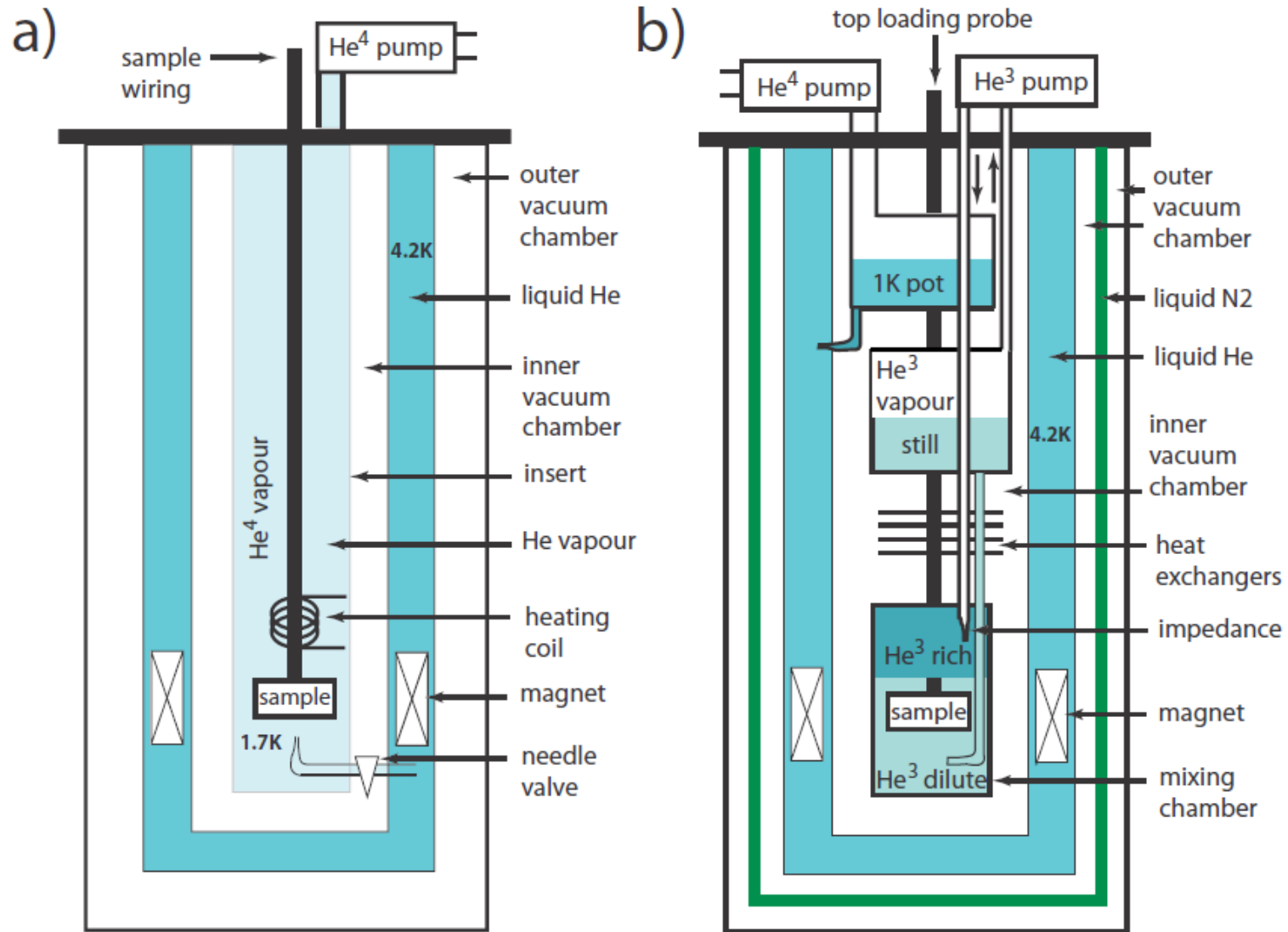
# Contacts to the macroscopic world



# Low temperature measurement



# Low temperature measurement



# Summary

- Part 1: Electronic transport in quantum nanostructures
  - Quantum effects can be observed on electronic transport for a sufficiently small size and at low temperature.
  - Electronic properties of small nanostructures (quantum dots) resemble those of artificial atoms (or molecules)